CHANGES TO THE PUBLISHED 2019 ATP OFFICIAL RULEBOOK

(This document includes all rule changes/additions/clarifications to date)

Last revised: 04/04/19
Current Revision: 04/18/19

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Effective Immediately (09 January): The Moscow prize money, Exhibit J, Page 290 has been adjusted according to the rule. The adjusted prize money page is attached.

Effective Immediately (15 January): A correction has been made to Chapter VI., 6.19 B. 2) as noted below in bold:

2) ATP Challenger Tour. Challengers categorized as CH 125, CH 110 & CH 100 are required to have one (1) high/low table in the physiotherapist room; it is recommended to have two (2) high/low tables. A high/low table is recommended for all other Challenger levels.

Effective Immediately (05 February): A clarification has been made to 7.04 B, pages 93-94 as noted below in bold:

B. Main Draw – Doubles

1) Advance Entry – ATP Tour Only
   a) No team shall be considered entered or withdrawn from an ATP Tour ‘tournament’ unless their written entry or written withdrawal is received on or before the entry or withdrawal deadline by a player relations staff member or ATP Supervisor. A team must have entered in order to be moved into the main draw as a direct acceptance because of the withdrawals of other players.
   b) Once a team enters they are subject to all the withdrawal provisions.

   “The top 13 teams from the final 2018 ATP Doubles Team Rankings shall receive automatic Direct Acceptance into the first 5 Masters 1000 events in the 2019 season (if not included as a Direct Acceptance on their own merit). To qualify for automatic acceptance a team must have competed as a team in 11 ATP World Tour or Grand Slam events during the 2018 season.

   For each of the remaining four (4) Masters 1000 events, the top 13 teams in the Team Race at the advanced entry deadline for that event, shall receive automatic Direct Acceptance into that Masters 1000 event (if not included as a Direct Acceptance on their own merit).

   To qualify for automatic acceptance into the first 5 Masters 1000 events a team must have competed together as a team in each week of the 2019 season where both players were playing in that week. The exception would be when they were both involved in different events.

   To qualify for automatic acceptance into the final 4 Masters 1000 events, once a team has competed together as a team, they must compete together as a team in each week of the 2019 season where both players were playing in that week. The exception would be when they were both involved in the singles of different events.

Effective Immediately (04 March): Please add a new section: 3.18 Tournament Audit Rule:

3.18 Tournament Audit Rule

A. Each ATP Tour tournament is required to annually provide complete and accurate actual Gross Revenue, other financial information and supporting documentation as requested by Committee Auditor, and provide reasonable cooperation to Committee Auditor, who will examine and verify the accuracy of the information.

B. The following terms used in this section shall have the corresponding meanings.

Committee - The ATP Board of Directors will appoint a Committee comprised of an equal number of tournament and player representatives, the ATP President and an independent member. The Committee will be delegated authority to implement this rule and for deciding any and all issues that may arise including but not limited to selection of events to be subject to full onsite audits (audit for purposes of financial disclosure should be the agreed upon procedures as determined by the Committee), the process of receiving financial information, auditing it, determining how often an audit may be performed on an individual tournament, resolving questions and issues that may arise, and implementing any other aspect of this rule. Actions of the Committee require a unanimous vote of both player and tournament representatives unless otherwise provided in this rule. The Committee will meet regularly as deemed necessary to properly administer this rule.

Committee Auditor - An independent accounting firm appointed by the Committee to receive each tournament’s Submission, perform agreed upon procedures regarding financial information included in the Submission, and prepare reports to the Committee and to the ATP Board of Directors summarizing the Submissions. Committee Auditor will also act as advisor to the Committee on financial and accounting related matters and Gross Revenue reporting issues.

Player Auditor - An independent CPA/financial advisor who meets the appropriate level of expertise and experience selected by the player members of the Committee and approved by the Committee (which for purposes of the this approval the ATP President would be considered a voting member) to act as advisor to the player Committee members and to provide comfort to them of the accuracy of the Submissions. The Player Auditor will be required to sign a statement of confidentiality and non-disclosure of any individual tournament financial or other information.

Gross Revenues - the total gross revenues related to a tournament determined on the basis of GAAP or IFRS (depending on the applicable accounting rules in the tournament’s country) consistently applied, and including all revenues related to the tournament operations regardless of what entity or in which jurisdiction such revenues are reported. Such revenues shall be reported in the tournament’s domestic reporting currency. For combined events, total gross revenues should be categorized by those identifiable only to the men’s event, those identifiable only to the women’s event and all other revenues. The Committee will create reporting rules for Gross Revenues.

Submission - the mandatory annual financial information report for each tournament which includes Gross Revenues and any other information required by the Committee but limited to revenue information or information directly related to such revenues. Submission must be provided to the Committee Auditor within 120 days following the end of each tournament’s event. In the first year of implementing this rule the Submission must include the current and two past years (total of 3 years) Gross Revenues and other required financial information.

C. Each ATP Tour tournament is required to provide its Submission annually to the Committee Auditor. Submission must be certified by the Tournament member as
complete and accurate and in accordance with this section. Committee Auditor and Player Auditor will be instructed not to disclose any individual tournament information to the Committee, its members, ATP or any other party.

D. Committee Auditor will perform an agreed upon procedures audit (as directed by the Committee) of selected tournaments’ Submissions and report to the Committee any issues it may identify as a result of these procedures which it is unable to resolve with the respective tournament. Once this process is completed Committee Auditor will provide the results of its procedures and the supporting audit work papers to the Player Auditor. The Player Auditor will undertake a review of the provided information and results. After completing this review, if the Player Auditor has any outstanding issues or questions, the Player Auditor will work with the Committee Auditor to resolve those issues or questions. If issues or questions remain unresolved after this collaboration due to the lack of cooperation of the event as determined by the Committee (which for purposes of this determination will include the Independent member of the Committee as a voting member) the revenue for that event would be excluded from the prize money formula calculation and that event will be subject to a prize money adjustment pursuant to paragraph F. below.

E. The Player Auditor will be instructed not to disclose any individual tournament information and/or documentation he receives under the terms of this clause to the Committee or any of its members, ATP or any other party.

F. Any tournament that fails to comply with this section or that submits a report or document that contains a material misstatement or does not fully cooperate with both the Committee Auditor and Player Auditor may be subject to a prize money adjustment in addition to any prize money adjustment approved by the Committee for each respective tournament category. Such prize money adjustment can be in an amount up to a maximum of $250,000. The Committee shall evaluate the circumstances that lead to the failure to comply and assess an appropriate prize money adjustment. In the event that the Committee is unable to unanimously agree on an adjustment the Independent Member of the Committee will be considered an voting member for this purpose.

Effective for the 2019 Nitto ATP Finals (08 March): please note the following changes to 4.01 D. 3) d) iii) in red:

D. Order of Play

Round Robin

1) The field shall be divided into two (2) groups of four (4) players each. The top-seeded player shall be placed in Group “A” and the second-seeded player shall be placed in Group “B”. Players seeded three (3) and four (4), five (5) and six (6), and seven (7) and eight (8), shall then be drawn in pairs with the first drawn placed into Group “A.”

2) Each player shall play every other player in his group to determine the top two (2) players in each group.

3) The final standings of each group shall be determined by the first of the following methods that apply:

   a) Greatest number of wins.
   b) Greatest number of matches played.
   Comment: 2-1 won-loss record beats a 2-0 won-loss record; a 1-2 record beats a 1-0 record.
   c) Head-to-head results if only two (2) players are tied.
   d) If three (3) players are tied, then:
      i) If three (3) players each have one (1) win, a player having played less than all three (3) matches is automatically eliminated and the player advancing to the single elimination competition is the winner of the match-up of the two (2) players tied with 1-2 records; or
      ii) Highest percentage of sets won; or
      iii) Highest percentage of games won; a player completing less than all three (3) matches is automatically eliminated and the player advancing to the single elimination competition is the winner of the match-up of the two (2) remaining players; or
      iv) The player positions on the ATP Rankings as of the Monday after the last ATP Tour tournament of the calendar year.
   v) If (i), (ii), (iii) or (iv) produce one (1) superior player (first place), or one (1) inferior player (third place), and the two (2) remaining players are tied, the tie between those two (2) players shall be broken by head-to-head record.

Effective 08 April 2019:

Changes made to 7.03 Entry Deadlines, A. 4) are noted below in red:

4) ATP Challenger Tour Qualifying.

12 Noon, Eastern Time, USA, twenty-one (21) days prior to the first Monday of the tournament week. A player directly accepted into the qualifying competition may withdraw, without penalty, if he is moved into the main draw, or accepts a wild card into an ATP Tour main draw or ATP Challenger Tour tournament, or if he is still competing in an ATP Tour, ATP Challenger Tour, or ITF Men’s tournament at any time on the day prior to the commencement of qualifying or two (2) days prior if competing on another continent. If withdraw occurs before 12 noon, Eastern Time, USA, on Friday and prior to 3:00pm local time on the day prior to the commencement of play, the qualifying vacancy shall be filled by the next player on the qualifying alternate list who has preserved his position on the Acceptance List. If a withdrawal occurs after 3:00pm local on the day prior to the commencement of qualifying, the qualifying vacancy will be filled from the on-site alternate sign-in list (players must personally sign-in to be eligible as an on-site alternate), with the first priority being those players who have preserved their eligibility followed by players who were not on the Acceptance List or who did not preserve their position, using the most recent ranking for the appropriate list (ATP or ITF). For the Challenger 125 events, the priority for those who did not preserve their position is the most recent ATP Singles ranking, followed by the most recent ITF Singles ranking and then the most recent ATP Doubles ranking. For Challenger 80-110 the priority for those who did not preserve their position is the most recent ITF Singles ranking, followed by the most recent ATP Singles ranking and then the most recent ATP Doubles ranking.

Sign-in. To be eligible for the qualifying competition, a player must sign in with the Supervisor and/or Referee. Each player wishing to sign-in must be either an ATP Player Member or an ATP Registered Player and must show a government issued photo identification to the Supervisor and/or Referee at time of sign-in. Players must include their ATP Player Identification number on the sign-in sheet along with their printed name and signature. The Supervisor and/or Referee may sign in a player prior to the
qualifying competition sign-in deadline if such player has competed and lost in a Grand Slam, ATP Tour or ATP Challenger Tour, or ITF WT tournament, on Friday (if the tournament is on a different continent than the following week’s tournament), on Saturday or Sunday. This player must be able to be on-site and play his qualifying match as the first scheduled match on Monday. No special scheduling allowed to accommodate travel difficulties. The player is responsible for having his entry confirmed by the supervisors at both tournaments.

NOTE: For the purposes of this rule, the Continents are: Europe, Asia, Antarctica, Australia, Africa, North America (including Central America) and South America.

**Sunday Start for Qualifying**

**Case:** The qualifying event begins on Sunday, how does this effect players being signed in from the previous week’s event?

**Decision:** The criteria for signing players in from other events is adjusted by one day, i.e. a player losing on Thursday on a different continent is eligible to be signed in when the next event’s qualifying begins on Sunday. Players competing on Saturday in the previous week’s event must have lost prior to the qualifying sign-in deadline at the next event, plus they must be able to arrive at the qualifying event to play as scheduled.

**Time.** The ATP Challenger Qualifying Sign-in shall begin no later than 1 p.m. and close at 3 p.m. local time on the day prior to the start of the qualifying competition (Sunday for a qualifying commencing on Monday). There shall also be an Alternate sign-in on the day of first round qualifying play, this deadline is one-half hour prior to the first scheduled qualifying match. This is a new sign-in and any player who is on-site and with an ATP or ITF ranking is eligible to sign-in.

Changes made to 7.08 The Draw, C. 1), 2), 3) and 4) are noted below in red

**7.08 The Draw**

**C. Composition of Draws - Challenger Tour Tournaments**

1) Main Draw 48 Players
   a) Challenger 80 - 110
      i) 35/36 Direct Acceptances based on ATP Ranking
      ii) 4 5 Direct Acceptances based on the ITF Ranking
      iii) 5 Wild Cards
      iv) 2 Qualifying Positions
      v) 0/1 Special Exempts
   b) Challenger 125
      i) 40/41 Direct Acceptances based on ATP Ranking
      ii) 5 Wild Cards
      iii) 2 Qualifying Positions
      iv) 0/1 Special Exempts

2) Main Draw 56* Players
   a) Challenger 80 - 110
      i) 47/48 Direct Acceptances based on ATP Ranking
   b) Challenger 125
      i) 1 Direct Acceptances based on ATP Ranking
5 Wild Cards
   ii) 2 Qualifying Positions
   iii) 0/1 Special Exempts

*Tournaments may apply to hold a 56-draw singles event. ATP approval will be based upon, but not limited to, these factors:
- Calendar position
- Adequacy of facility
- Match Schedule Plan
- Location
- Any other factor deemed relevant by ATP

3) Qualifying for categories 80-110 is a 4-player event for 2 positions in the main draw
   a) 3 Direct Acceptances based on ITF Ranking
   b) 1 Wild Card

4) Qualifying for a Challenger 125 category is a 4-player event for 2 positions in the main draw
   a) 2 Direct Acceptances Based on ATP Ranking
   b) 2 Wild Cards

5) All Challenger events are 7-day events
   a) Play is Monday through Sunday with the exception that Challengers scheduled in the week prior to a Grand Slam, and in the same region, may apply for a Sunday through Saturday event. All requests from Challengers not scheduled in the week prior to a Grand Slam (same region) shall be considered on a case by case basis.
   b) The deadline for applying for a Saturday final is four (4) months prior to the first Monday of the event or prior to the tournament being added to the calendar, whichever is first.

Changes made to 7.13 Selection of Entries, B. 2) are noted below in red

**7.13 Selection of Entries**

**B. Singles Qualifying**

2) Direct acceptances – ATP Challenger Tour. **Players who personally sign-in for the qualifying event shall be accepted based upon their position on the original acceptance list, using the 21-day ranking.** The priority order for selection is 1) position on the ATP Acceptance List and 2) position on the ITF Acceptance List. Thereafter, players who sign-in who were not on the original acceptance list shall be placed behind those players who signed-in and are on the original acceptance in the following priority, 1) most recent ATP Singles Ranking, 2) most recent ITF Singles Ranking and 3) most recent ATP Doubles Ranking.

The sign-in shall also include those players coming from other events who are eligible to be signed-in by the ATP Supervisor or Tournament Referee.

   a) **Challenger 80-110.** Direct acceptances are players accepted directly into the draw by virtue of their position in the ITF rankings. The list to be used shall be dated approximately twenty-one (21) days prior to the Monday of the tournament week.

   b) **Challenger 125.** Direct acceptances are players accepted directly into the draw by virtue of their position in the ATP Singles Rankings or by their protected ranking position (singles). The list to be used shall be dated approximately twenty-one (21) days prior to the Monday of the tournament week.
Case: A player enters an event using his current ranking. After the deadline, he realizes he did not get in with his current ranking and informs the Supervisor that he wants to use his protected ranking. May he do this?

Decision: No, the intent to use a protected ranking must be declared at the time of entry and cannot be declared after the deadline for the event.

3) ATP Tour. If there are still places available for direct acceptances in the qualifying draw, the next players selected shall be those with the highest position on the most recent ATP Doubles Rankings list.

4) ATP Tour. There shall be an alternate sign-in list comprised of players that sign in who are not selected as direct acceptances. In the event that direct acceptance players are not present for first-round qualifying matches or if players are moved into the main draw from the qualifying (before the first ball is hit), players shall be selected from the alternate sign-in list in the order described in sections 1), and 2) above. Alternate sign-in deadline is 6:00 pm local time the day before the start of the qualifying. Thereafter, the deadline is one half (1/2) hour before the first scheduled match each day an Alternate may be required.

5) ATP Challengers. There shall be an on-site alternate sign-in on the day of the start of qualifying. The sign-in deadline is one half (1/2) hour before the first scheduled qualifying match.

a) ATP Challenger 125. Priority for the on-site alternate sign-in is first, players from the original acceptance list who have properly preserved their position on the acceptance list, followed by players using their ATP Singles Ranking, then players with an ITF Singles Ranking and then players using their ATP Doubles Ranking.

b) ATP Challenger 80-110. Priority for the on-site alternate sign-in is first, players from the original acceptance list who have properly preserved their position on the acceptance list, followed by players using their ITF Singles Ranking. Thereafter, players using their ATP Singles Ranking followed by ATP Doubles Ranking.

Effective immediately (04 April): please note corrections to 7.04 Entry/Withdrawal Method, B. Main Draw – Doubles 2) On-Site Entry, page 94 to separate ATP Tour and ATP Challenger Tour rules as noted in bold:

2) On-Site Entry - ATP Tour - See 7.03 B. 1) c)

On-Site Entry - ATP Challenger Tour Tournaments

a) No team shall be accepted for on-site entry into the doubles event unless one player of the team personally signs the on-site entry list unless subsection d) applies.

b) If neither player of the team is able to sign the list then such team may enter the doubles event by submitting a written entry to ATP or by personally contacting the Tour Manager or Supervisor at the tournament he wants to play.

c) Written entries submitted by a player(s) who is not on-site and who has not been able to talk to the Tour Manager or Supervisor, must be received by the on-site Supervisor prior to the deadline.

d) The Tour Manager or Supervisor may sign-in and enter doubles teams.

e) Each member of a team wishing to enter the doubles event must be either an ATP Player Member or an ATP Registered Player.

Effective immediately (04 April): please note corrections to 7.22 M. Continuous Play/Delay of Game, page 145 and N. Time and Equipment Cases, page 146 and to 7.25 B. Code and Time Violations, 2), page 161 as noted in bold:

M. Continuous Play/Delay of Game

Play shall be continuous, except that a maximum of twenty-five (25) seconds may elapse from the moment the ball goes out of play at the end of one point to the time the ball is struck for the next point, except at a ninety (90) second changeover or a one hundred and twenty (120) second set break. When a changeover ends, ninety (90) seconds may elapse. The procedures for enforcing this rule are as follows:

1) Time Between Points. 25 Seconds/Continuous Play

a) Start Stop Watch. The Chair Umpire must start the stopwatch after the ball goes out of play or when the players are ordered to play.

b) Time Violation or Code Violation. A Time or Code Violation must be assessed if the ball is not struck for the next point within the twenty-five (25) seconds allowed, except if the Chair Umpire extends the time for special circumstances defined by ATP. There is no time warning prior to the expiration of the twenty-five (25) seconds.

c) A player may not receive back-to-back Time Violations because consecutive delays shall be penalized by a delay of game Code Violation, unless there has been a non-continuous game changeover.

2) 90 Seconds/Change-Over (Changing Ends)

a) Start Stop Watch. The Chair Umpire must start the stopwatch the moment the ball goes out of play.

b) “Time.” The Chair Umpire must announce to players “Time” after one (1) minute has elapsed. If requested, prior to the match, by a television broadcaster, the Chair Umpire shall have the authority to delay the start of play until the end of the ninety (90) second changeover period.

c) “15 Seconds.” The Chair Umpire may announce to players “15 Seconds” if the players are still at their chairs and/or have not started toward their playing positions.

d) Time Violation or Code Violation. The Chair Umpire must assess a Time Violation or, when applicable, a Code Violation (after a medical time-out or treatment) if the ball is not struck for the next point within the ninety (90) seconds / one hundred twenty 120 seconds allowed, provided there has been no interference which prevented the Server from serving within that time or a delay by the Chair Umpire.

e) 120 Seconds/Set Break

a) Start Stop Watch. The Chair Umpire must start the stopwatch the moment the ball goes out of play.

b) “Time”. The Chair Umpire must announce to players “time” after 90 seconds has elapsed. If requested, prior to the match, by a television broadcaster, the Chair Umpire shall have the authority to delay the start of play until the end of the one hundred twenty (120) second set break.

c) “15 Seconds.” The Chair Umpire may announce to players “15 Seconds” if the players are still at their chairs and/or have not started toward their playing positions.

d) Time Violation or Code Violation. The Chair Umpire must assess a Time Violation or, when applicable, a Code Violation (after a medical time-out or treatment) if the ball is not struck for the next point within the one hundred
twenty (120) seconds / one hundred fifty (150) seconds allowed, provided there has been no interference which prevented the server from serving within that time or a delay by the Chair Umpire.

N. Time and Equipment Cases

**Time Violation, No Play, Results in Code**

**Case:** A player, upon hearing a Time Violation, comes to the Chair Umpire and asks “Why?” He receives an explanation followed by “Let’s Play”. The player continues his discussion and is given a Code Violation. The player appeals to the Supervisor saying he should have received a Time Violation, Point Penalty.

**Decision:** The Chair Umpire suspends play and calls for the Supervisor. Upon arrival, the Supervisor affirms the decision of the Chair Umpire as the player was directed to play when the Chair Umpire said “Let’s Play.” Two-time violations are not given back-to-back unless there was a game changeover between them.

### 7.25 Announcing

**B. Code and Time Violations**

2) Time Violations resulting from non-compliance with the Unreasonable Delay provisions of the Code shall be announced in accordance with the following examples:

“Time Violation, Warning, Mr. ___ (last name).” (‘Let’s Play” is optional.)

Consecutive delays will be penalized by a Delay of Game Code Violation, unless there has been a non-continuous game changeover.

Subsequent Delays by the Server:

“Time Violation, 2nd Service” (“Let’s Play” is optional.)

Subsequent Delays by the Receiver:

“Time Violation, Point Penalty, Mr. ___ (last name),” (“Let’s Play” is optional.)

### Effective immediately (17 April): please note a correction to 8.01 Tournament Obligations where some of the text should have been deleted and was not.

### 8.01 Tournament Obligations

**A. Application Requirements**

Each tournament agrees to comply with all provisions contained in the ATP Tour Tournament and ATP Challenger Tour Applications where applicable.

**B. Ranking Based Entry**

Each tournament agrees to accept entries of tennis players on the basis of the ATP Rankings and the ATP Doubles Rankings.

**C. Other Circuit**

Each ATP Tour tournament and ATP Challenger Tour tournament agrees to refrain from being advertised or promoted as part of any other circuit or series of tournaments, unless expressly authorized by ATP.

**D. Promotional Fees**

1) **ATP Tour 500 and ATP Tour 250 tournaments** have the option to offer fees for promotional services. No other ATP Tour or ATP Challenger Tour tournament owner, operator, sponsor or agent is permitted to offer, give or pay money or anything of value, nor shall the tournament permit any other person or entity to offer, give or pay money or anything of value to a player, directly or indirectly, to influence or assure a player’s competing in a tournament, other than prize money, unless authorized to do so by ATP.

2) In the event the President or Executive Vice President - Rules & Competition believes that a tournament may be violating this section, then upon demand, the tournament must furnish to the Executive Vice President - Rules & Competition or his agent access to and copies of all records to which it has access relating to such alleged prohibited compensation or, in the absence of such records, an affidavit setting forth the facts in detail with respect to any transaction under question by the Executive Vice President - Rules & Competition. In the event a tournament fails to provide such records or affidavit for such audit, it may be subject to a fine up to $50,000 and termination of membership, pending compliance with such demand.

3) Violation of this section shall subject the tournament to a fine up to $50,000 plus the amount or value of any such compensation, and termination of membership, and/or forfeiture of all sums, if any, previously paid to ATP.

**E. Security at Tournaments**

Each tournament has the responsibility to provide security at the tournament site. Players have the responsibility to report any threat or unusual occurrence to the Tournament Director.

**F. Tournament Report**

Each ATP Tour and ATP Challenger Tour tournament shall submit to ATP a report of the tournament and its affairs as requested by ATP.

**G. Wagers and On-Site Tennis Betting**

1) No ATP Tour or ATP Challenger Tour tournament, ATP member or any person who directly or indirectly has a controlling ownership interest therein or who is the Designated Representative (as defined in the ATP By-Laws) or Tournament Director or other employee or agent of an ATP Tour or ATP Challenger Tour tournament or ATP member (excluding employees or agents who do not have executive or material management authority) shall engage in any form of gambling or wagering in connection with any ATP Tour or ATP Challenger Tour tournament.

2) On-Site Tennis Betting. No ATP Tour or ATP Challenger Tour tournament shall directly or indirectly solicit or facilitate any person to wager on tennis matches while at the tournament site. Allowing betting companies, directly or through a third party, to accept any tennis wagers (electronically or otherwise) at the tournament site or any tournament related event is prohibited.

**H. Wild Cards**

No ATP Tour or ATP Challenger Tour tournament, or any person who directly or indirectly has a controlling ownership interest therein or who is the Designated Representative (as defined in the ATP By-Laws) or Tournament Director or other employee or agent of an ATP Tour or ATP Challenger Tour tournament shall directly or indirectly, accept compensation in exchange for a wild card.
X. EXHIBITS

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* Prize money may be adjusted in accordance with rule 3.11 A. 1) c)*

New York

Altanta

Winston-Salem

Los Cabos

Zhuji

Moscow