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4.01 Nitto ATP Finals - Singles

A. Competition Format

The tournament shall be a singles round robin format with eight (8) players. There shall be two (2) groups of four (4) players each with eight (8) seeds to be determined by the ATP Rankings on the Monday after the last ATP Tour tournament of the calendar year. All matches shall be the best of three (3) tie-break sets, including the final. The round robin shall determine the four (4) players for the semifinals with the format thereafter being a single elimination competition.

B. Entries

1) **Selection List.** The selection list for the event shall be:

   a) The top seven (7) players in the ATP Rankings as of the Monday after the last ATP Tour tournament of the calendar year; followed by

   b) Up to two (2) Grand Slam winners of that year, in order of their positions, positioned between eight (8) and twenty (20) in the ATP Rankings as of that Monday; followed by

   c) Players positioned eight (8) and below in the ATP Rankings as of that Monday.

2) **Direct Acceptances.** The top eight (8) players in the selection list shall qualify for the event as direct acceptances. Participation is mandatory, and all qualified players shall be entered. All direct acceptances must be at the tournament site to attend the official pre-tournament media conference and must be available for play through the completion of the round robin competition and the knock-out competition if eligible.

3) **Withdrawal.** Any withdrawal, before the official pre-tournament media conference starts, shall be replaced by the next highest positioned player on the selection list, who shall be qualified as a direct acceptance.

4) **Alternate(s).**

   a) The next highest positioned player on the selection list (who is not a direct acceptance at the time of the official pre-tournament media conference) shall be designated as the alternate and shall replace any player who subsequently withdraws. The alternate must appear at the official pre-tournament media conference and remain available through the start of the last scheduled round robin match.

   b) Additional alternates may be selected by ATP to fill the draw, based on the selection list, upon terms satisfactory to ATP. Participation of such additional alternates is not mandatory.

   c) The alternate(s) is eligible to play in the single elimination competition and to receive points and prize money if he qualifies.

   d) If the alternate(s) does not play in the draw, then a fee shall be paid to the alternate(s). If the alternate(s) is inserted for the second or third round robin match, then he shall receive the alternate fee plus any prize money and points won. If the alternate(s) replaces a player that does not compete in his first round robin match, the alternate(s) becomes a direct acceptance and does not receive the alternate fee.

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C. Failure To Participate in the Nitto ATP Finals

1) If a player, qualified for the Nitto ATP Finals as a direct acceptance or designated as the alternate, fails or refuses to participate in this event, except for bona fide injury or other reason which constitutes good cause, the player shall not be in good standing.

2) All direct acceptances and the alternate must appear at the site of the event(s) as determined by ATP and participate in the pre-tournament media conference.

Failure to appear shall result in a penalty of five percent (5%) of total ATP prize money earned during the ATP circuit year.

Please also see 1.07 G. 2) b).

D. Order of Play

Round Robin

1) The field shall be divided into two (2) groups of four (4) players each. The top-seeded player shall be placed in Group "A" and the second-seeded player shall be placed in Group "B". Players seeded three (3) and four (4), five (5) and six (6), and seven (7) and eight (8), shall then be drawn in pairs with the first drawn placed into Group "A."

2) Each player shall play every other player in his group to determine the top two (2) players in each group.

3) The final standings of each group shall be determined by the first of the following methods that apply:

  a) Greatest number of wins.
  b) Greatest number of matches played.
  Comment: 2-1 won-loss record beats a 2-0 won-loss record; a 1-2 record beats a 1-0 record.
  c) Head-to-head results if only two (2) players are tied.
  d) If three (3) players are tied, then:
   i) If three (3) players each have one (1) win, a player having played less than all three (3) matches is automatically eliminated and the player advancing to the single elimination competition is the winner of the match-up of the two (2) players tied with 1-2 records; or
   ii) Highest percentage of sets won; or
   iii) Highest percentage of games won; a player completing less than all three (3) matches is automatically eliminated and the player advancing to the single elimination competition is the winner of the match-up of the two (2) remaining players; or
   iv) The player positions on the ATP Rankings as of the Monday after the last ATP Tour tournament of the calendar year.
   v) If (i), (ii), (iii) or (iv) produce one (1) superior player (first place), or one (1) inferior player (third place), and the two (2) remaining players are tied, the tie between those two (2) players shall be broken by head-to-head record.
   Comment 1: 1 player has 3 wins and the other 3 players have 1 win. Of the 3 players with 1 win, 1 player has only played in 2 matches while the other 2 players have played 3 matches. The player who has only played 2 matches is eliminated and then the 2 remaining players revert back

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to head-to-head results with the winner of their match advancing to the semi-finals.

Comment 2: 1 player has 3 wins and the other 3 players have 1 win and they all have played 3 matches. The tie-break for % of sets won has 1 player with a better % than the other two. This player advances to the semi-final round.

Comment 3: 3 players have 2 wins and the other player has 0 wins. The player with 0 wins is eliminated. Of the 3 players with 2 wins, they are ordered by their % of sets won. This produces a 1, 2 & 3 order and the players finishing 1 and 2 move to the semi-final round and the player finishing 3 in % of sets won is eliminated. The player with the best % of sets won is the winner of the group.

Comment 4: 3 players have 2 wins and the other player has 0 wins. The player with 0 wins is eliminated. Of the 3 players with 2 wins, 1 player's sets won-loss is 5-2 for 71.43%; the other 2 players both have a 4-3 record in sets for 57.14%. In this case there is 1 superior player (71.43%) and the remaining 2 players are tied; it now reverts to the head to head result of the 2 remaining players with the winning player advancing as group runner-up.

Comment 5: 3 players have 2 wins and the other player has 0 wins. The player with 0 wins is eliminated. Of the 3 players with 2 wins, 2 have set won-loss records of 5-3 (62.5%) while the other player is 4-3 (57.14%). In this case we have 1 inferior player (57.14%) and he is eliminated. The remaining two players both advance to the semi-finals with the winner of their head-to-head match advancing as the group winner.

Comment 6: 3 players have 2 wins and the other player has 0 wins. The player with 0 wins is eliminated. Of the 3 players with 2 wins, all have played 3 matches and all 3 have set won-loss records of 5-4 (55.56%). In this case we move to % of games won. The % of games won breaks down like this: 44-40 for 52.38%, 45-43 for 51.14% and 44-43 for 50.57%. This produces a 1, 2 and 3 order of the group and the number 1 player in % of games won is the group winner while the player finishing 2nd in % of games won advances to the semi-finals as the group runner-up. The player with the 3rd best % of games won is eliminated.

4) In applying the tie-breaking procedures, a conduct default or retirement shall count as a straight-set win or loss. However, games won or lost in matches with the defaulting or retiring player shall not be counted in the application of subsection 3.d. (iii) above. A player who retires during the round robin because of illness or injury may continue in the competition if it is approved by the tournament Doctor.

Comment: This prevents a scenario where a player knows he only needs to win 1 set to qualify for the semi-finals from retiring once he has won a set.

5) Any player who is defaulted pursuant to the ATP Code during the round robin competition shall be defaulted from all other matches in the Championship and ATP default provisions shall apply, except for the following circumstances:

   a) The loss of physical condition; or
   b) Dress and Equipment.

6) Any player who withdraws from any round robin match after the first round shall not be eligible for the single elimination competition.

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E. Single Elimination Competition

1) The winner of each group shall be placed in separate semi-final brackets. The runner-up of each group shall be placed in the semi-final bracket with the winner of the opposite group.

2) The event shall be completed with a single elimination competition for the semi-finals and final.

3) There shall be no playoff for the third and fourth place positions.

F. Prize Money and Points

Final standings at the end of the tournament shall determine the prize money and ATP Rankings points earned.

4.02 Nitto ATP Finals - Doubles

A. Competition Format

The tournament shall be a doubles round robin format with eight (8) teams. There shall be two (2) groups of four (4) teams each with eight (8) seeds to be determined by the 2019 ATP Doubles Team Rankings on the Monday after the last ATP Tour tournament of the calendar year. All matches shall be two (2) tie-break sets with a deciding Match Tie-break (10 point) at one (1) set all. The round robin shall determine the four (4) teams for the semi-finals with the format thereafter being a single elimination competition.

B. Entries

1) **Selection List.** The selection list for the event shall be:

   a) The top 7 teams in the 2019 ATP Doubles Team Rankings as of the Monday after the last ATP Tour tournament of the calendar year; followed by

   b) Up to two (2) Grand Slam winners of that year, in order of their positions, positioned between eight (8) and twenty (20) in the 2019 ATP Doubles Team Rankings as of that Monday; followed by

   c) Teams positioned eight (8) and below in the 2019 ATP Doubles Team Rankings as of that Monday.

2) **Direct Acceptances.** The top eight (8) teams in the selection list shall qualify for the event as direct acceptances. Participation is mandatory, and all qualified teams shall be entered. All direct acceptances must be at the tournament site to attend the official pre-tournament media conference and must be available for play through the completion of the round robin competition and the knock-out competition if eligible.

3) **Withdrawal.** All eligible teams shall be entered by ATP; however, teams may withdraw through the Monday following the last ATP Tour tournament of the year. Any withdrawal, before the official pre-tournament media conference starts, shall be replaced by the next highest positioned team on the selection list, who shall be qualified as a direct acceptance.

4) **Alternate(s).**

   a) On the Monday following the last ATP Tour tournament of the year, the next highest positioned team shall be asked to confirm its status as the alternate team. Alternate team(s) may be selected by ATP to fill the draw upon terms

satisfactory to ATP. Any withdrawal after the official pre-tournament media conference through the start of the last scheduled round robin match shall be filled with the alternate team(s). The alternate team must appear at the official pre-tournament media conference and remain available through the start of the last scheduled round robin match.

b) Additional alternate teams may be selected by ATP to fill the draw, based on the selection list, upon terms satisfactory to ATP. Participation of such additional alternate teams is not mandatory.

c) The alternate team(s) is eligible to play in the single elimination competition and to receive points and prize money if they qualify.

d) If the alternate team(s) does not play in the draw, then a fee shall be paid to the alternate team(s). If the alternate team(s) is inserted for the second or third round robin match, then they shall receive the alternate fee plus any prize money and points won. If the alternate team(s) replaces a team that does not compete in their first round robin match, the alternate team(s) becomes a direct acceptance and does not receive the alternate fee.

C. Order of Play

Round Robin

1) The field shall be divided into two (2) groups of four (4) teams each. The top-seeded team shall be placed in Group "A" and the second-seeded team shall be placed in Group "B". Teams seeded three and four, five and six, and seven and eight, shall then be drawn in pairs with the first drawn placed into Group "A".

2) Each team shall play every other team in their group to determine the top two (2) teams in each group.

3) The final standings of each group shall be determined by the first of the following methods that apply:

    a) Greatest number of wins.

    b) Greatest number of matches played.
    Comment: 2-1 won-loss record beats a 2-0 won-loss record; a 1-2 record beats a 1-0 record.

    c) Head-to-head results if only two (2) teams are tied.

    d) If three (3) teams are tied, then:

      i) If three (3) teams each have one (1) win, a team having played less than all three (3) matches is automatically eliminated and the team advancing to the single elimination competition is the winner of the match-up of the two (2) teams tied with 1-2 records; or

      ii) Highest percentage of sets won; (Winning the MTB counts as one (1) set won); or

      iii) Highest percentage of games won. (Winning the MTB counts as one (1) game won); or

      iv) The team positions on the 2019 ATP Doubles Team Rankings as of the Monday after the last ATP Tour tournament of the calendar year.

      v) If (i), (ii), (iii) or (iv) produce one (1) superior team (first place), or one (1) inferior team (third place), and the two (2) remaining teams are tied, the tie between those two (2) teams shall be broken by head-to-head record
      Comment 1: 1 team has 3 wins and the other 3 teams have 1 win. Of the 3 teams with 1 win, 1 team has only played in 2 matches while the other 2 teams have played 3 matches. The team who has only played 2 matches is eliminated and then the 2 remaining teams revert back to head-to-head

results with the winner of their match advancing to the semi-finals.

Comment 2: 1 team has 3 wins and the other 3 teams have 1 win and they all have played 3 matches. The tie-break for % of sets won has 1 team with a better % than the other two. This team advances to the semi-final round.

Comment 3: 3 teams have 2 wins and the other team has 0 wins. The team with 0 wins is eliminated. Of the 3 teams with 2 wins, they are ordered by their % of sets won. This produces a 1, 2 & 3 order and the teams finishing 1 and 2 move to the semi-final round and the team finishing 3 in % of sets won is eliminated. The team with the best % of sets won is the winner of the group.

Comment 4: 3 teams have 2 wins and the other team has 0 wins. The team with 0 wins is eliminated. Of the 3 teams with 2 wins, 1 team's sets won-loss is 5-2 for 71.43%; the other 2 teams both have a 4-3 record in sets for 57.14%. In this case there is 1 superior team (71.43%) and the remaining 2 teams are tied; it now reverts to the head to head result of the 2 remaining teams with the winning team advancing as group runner-up.

Comment 5: 3 teams have 2 wins and the other team has 0 wins. The team with 0 wins is eliminated. Of the 3 teams with 2 wins, 2 have set won-loss records of 5-3 (62.5%) while the other team is 4-3 (57.14%). In this case we have 1 inferior team (57.14%) and this team is eliminated. The remaining two teams both advance to the semi-finals with the winner of their head-to-head match advancing as the group winner.

Comment 6: 3 teams have 2 wins and the other team has 0 wins. The team with 0 wins is eliminated. Of the 3 teams with 2 wins, all have played 3 matches and all 3 have set won-loss records of 5-4 (55.56%). In this case we move to % of games won. The % of games won breaks down like this: 44-40 for 52.38%, 45-43 for 51.14% and 44-43 for 50.57%. This produces a 1, 2 and 3 order of the group and the number 1 team in % of games won is the group winner while the team finishing 2nd in % of games won advances to the semi-finals as the group runner-up. The team with the 3rd best % of games won is eliminated.

4) In applying the tie-breaking procedures, a conduct default or retirement shall count as a straight-set win or loss. However, games won or lost in matches with the defaulting or retiring team shall not be counted in the application of subsection 3.d. (iii) above. A team who retires during the round robin because of illness or injury may continue in the competition if it is approved by the tournament Doctor.

Comment: this prevents a scenario where a team knows he only needs to win 1 set to qualify for the semi-finals from retiring once he has won a set.

5) Any team who is defaulted pursuant to ATP Code during the round robin competition shall be defaulted from all other matches in the Championship and ATP default provisions shall apply, except for the following circumstances:

a) The loss of physical condition; or
b) Dress and Equipment.

6) Any team who withdraws from any round robin match after the first round shall not be eligible for the single elimination competition.

D. Single Elimination Competition

1) The winner of each group shall be placed in separate semi-final brackets. The runner-up of each group shall be placed in the semi-final bracket with the winner of the opposite group.

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2) The event shall be completed with a single elimination competition for the semi-finals and final.

3) There shall be no playoff for the third and fourth-place positions.

E. Prize Money and Points

Final standings at the end of the tournament shall determine the prize-money and ATP Doubles Rankings points earned.

4.03 Next Gen ATP Finals

A. Competition Format

The tournament shall be a round robin format with eight (8) players. There shall be two (2) groups of four (4) players each with eight (8) seeds to be determined by their position on the most recent ATP Singles Rankings. All matches shall be the best of five (5) tie-break sets. Each set shall be the first to four (4) games with a margin of two (2) with a tie-break played at three (3) games all. Games shall be decided using the No-Ad scoring method. The round robin shall determine the four (4) players for the semi-finals with the format thereafter being a single elimination competition.

B. Entries

1) Age Eligibility. All players must be 21 years or under throughout the 2019 calendar year.

2) Selection List. The selection list for the event shall be:

    a) The top seven (7) players in the ATP Race to Milan standings as of Monday (30 Oct) following the Basel / Vienna / Challenger events; followed by

    b) One (1) Wild Card designated by the FIT. The Wild Card selection is the winner of the FIT Wild Card tournament consisting of the top 8 Italian players under 21, based upon their ATP ranking.

3) Direct Acceptances. The top seven (7) players in the selection list shall qualify for the event as direct acceptances. Participation is mandatory, and all qualified players shall be entered. The exception to this is that any player(s) who are qualified for the Nitto ATP Finals as a Direct Acceptance or as a designated Alternate are excluded from mandatory participation. All direct acceptances must be at the tournament site to attend the official pre-tournament media events scheduled Sunday night and Monday and must be available for play through the completion of the round robin competition and the knock-out competition if eligible.

4) Withdrawal. Any withdrawal, before the official pre-tournament media conference starts, shall be replaced by the next highest positioned player on the selection list, who shall be qualified as a direct acceptance.

5) Alternate(s).

    a) The next highest positioned player on the selection list (who is not a direct acceptance at the time of the official pre-tournament media conference) shall be designated as the alternate and shall replace any player who subsequently withdraws. The alternate must appear at the official pre-tournament media conference and remain available through the start of the last scheduled round robin match. Participation of the Alternate is not mandatory.

    b) Additional alternates may be selected by ATP to fill the draw, based on the selection list, upon terms satisfactory to ATP. Participation of such additional

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alternates is not mandatory. The second alternate will be the finalist from the FIT Wild Card tournament.

  c) The alternate(s) is eligible to play in the single elimination competition and to receive prize money if he qualifies.

  d) If the alternate(s) does not play in the draw, then a fee shall be paid to the alternate(s). If the alternate(s) is inserted for the second or third round robin match, then he shall receive the alternate fee plus any prize money won. If the alternate(s) replaces a player that does not compete in his first round rob-in match, the alternate(s) becomes a direct acceptance and does not receive the alternate fee.

C. Appearance at Event

All direct acceptances and the alternate must appear at the site of the event(s) as determined by ATP and participate in the pre-tournament media events scheduled on Sunday night and Monday.

D. Failure to Participate

If a player, qualified for the Next Gen ATP Finals as a direct acceptance fails or re-fuses to participate in this event, except for bona fide injury or other reason which constitutes good cause, the player shall receive a fine in the amount of $25,000.

E. Order of Play

Round Robin

1) The field shall be divided into two (2) groups of four (4) players each. The top-seeded player shall be placed in Group "A" and the second-seeded player shall be placed in Group "B". Players seeded three (3) and four (4), five (5) and six (6), and seven (7) and eight (8), shall then be drawn in pairs with the first drawn placed into Group "A."

2) Each player shall play every other player in his group to determine the top two (2) players in each group.

3) The final standings of each group shall be determined by the first of the following methods that apply:

  a) Greatest number of wins.

  b) Greatest number of matches played.
    Comment: 2-1 won-loss record beats a 2-0 won-loss record; a 1-2 record beats a 1-0 record.

  c) Head-to-head results if only two (2) players are tied.

  d) If three (3) players are tied, then:

    i) If three (3) players each have one (1) win, a player having played less than all three (3) matches is automatically eliminated and the player advancing to the single elimination competition is the winner of the match-up of the two (2) players tied with 1-2 records; or

    ii) Highest percentage of sets won; or

    iii) Highest percentage of games won; or

    iv) The player positions on the most recent ATP Rankings.

    v) If (i), (ii), (iii) or (iv) produce one (1) superior player (first place), or one (1) inferior player (third place), and the two (2) remaining players are tied,

the tie between those two (2) players shall be broken by head-to-head record.

Comment 1: 1 player has 3 wins and the other 3 players have 1 win. Of the 3 players with 1 win, 1 player has only played in 2 matches while the other 2 players have played 3 matches. The player who has only played 2 matches is eliminated and then the 2 remaining players revert to head-to-head results with the winner of their match advancing to the semi-finals.

Comment 2: 1 player has 3 wins and the other 3 players have 1 win and they all have played 3 matches. The tie-break for % of sets won has 1 player with a better % than the other two. This player advances to the semi-final round.

Comment 3: 3 players have 2 wins and the other player has 0 wins. The player with 0 wins is eliminated. Of the 3 players with 2 wins, they are ordered by their % of sets won. This produces a 1, 2 & 3 order and the players finishing 1 and 2 move to the semi-final round and the player finishing 3 in % of sets won is eliminated. The player with the best % of sets won is the winner of the group.

Comment 4: 3 players have 2 wins and the other player has 0 wins. The player with 0 wins is eliminated. Of the 3 players with 2 wins, 1 player's sets won-loss is 5-2 for 71.43%; the other 2 players both have a 4-3 record in sets for 57.14%. In this case there is 1 superior player (71.43%) and the remaining 2 players are tied; it now reverts to the head to head result of the 2 remaining players with the winning player advancing as group runner-up.

Comment 5: 3 players have 2 wins and the other player has 0 wins. The player with 0 wins is eliminated. Of the 3 players with 2 wins, 2 have set won-loss records of 5-3 (62.5%) while the other player is 4-3 (57.14%). In this case, we have 1 inferior player (57.14%) and he is eliminated. The remaining two players both advance to the semi-finals with the winner of their head-to-head match advancing as the group winner.

Comment 6: 3 players have 2 wins and the other player has 0 wins. The player with 0 wins is eliminated. Of the 3 players with 2 wins, all have played 3 matches and all 3 have set won-loss records of 5-4 (55.56%). In this case, we move to % of games won. The % of games won breaks down like this: 44-40 for 52.38%, 45-43 for 51.14% and 44-43 for 50.57%. This produces a 1, 2 and 3 order of the group and the number 1 player in % of games won is the group winner while the player finishing 2nd in % of games won advances to the semi-finals as the group runner-up. The player with the 3rd best % of games won is eliminated.

e) In applying the tie-breaking procedures, a conduct default or retirement shall count as a straight-set win or loss. However, games won or lost in matches with the defaulting or retiring player shall not be counted in the percentage of games won. A player who retires during the round robin because of illness or injury may continue in the competition if it is approved by the tournament Doctor.

Comment: This prevents a scenario where a player knows he only needs to win 1 set to qualify for the semi-finals from retiring once he has won a set.

f) Any player who is defaulted pursuant to the ATP Code during the round robin competition shall be defaulted from all other matches in the Championship and ATP default provisions shall apply, except for the following circumstances:

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i) The loss of physical condition; or

ii) Dress and Equipment.

g) Any player who withdraws from any round robin match after the first round shall not be eligible for the single elimination competition.

F. Single Elimination Competition

1) The winner of each group shall be placed in separate semi-final brackets. The runner-up of each group shall be placed in the semi-final bracket with the winner of the opposite group.

2) The event shall be completed with a single elimination competition for the semi-finals and final.

3) There shall be a playoff between the losers of the two semi-final matches for the third and fourth place positions.

G. Prize Money

Final standings at the end of the tournament shall determine the prize money earned.

H. Conditions of Play

The conditions of play will be finalized and announced following the 2019 US Open.