

# ATP ADDENDUM

## I. ATP CIRCUIT REGULATIONS

### 1.04 Finals Options

#### A. General 2) and B. Singles 2)

**Ceremonies** - Allowed with strict distancing procedures in place:

- Minimum number of people on-court
- Minimum of 2 m. distance between them
- No hand shaking
- Separate microphones for speakers delivered on court by tournament staff equipped with PPE.
- Trophies to be placed on a designated stand by tournament staff equipped with PPE and only be handled directly by the players.

### 1.07 Commitment, Membership Obligations and Bonus Pool

**D. Commitment.** ATP Masters 1000 commitment will remain for Bonus Pool purposes through the end of 2021.

#### ~~E. Commitment Player Entry Exception – Top 30~~

~~Commitment players are automatically accepted into the main draw of all ATP Tour 500 events in which they have entered in a proper manner.~~

**G. ATP Tour Masters 1000 & Nitto ATP Finals (“Finals”) Bonus Pool.** The Bonus Pool for 2020 has been eliminated.

### 1.14 Stars Program

**Scenarios 1 & 2** – Reduced activities allowed with distancing in place as recommended by local governments and health authorities.

**Scenario 3** – Select activities performed using digital platforms and media options.

### 1.20 Seating and Attendance

**A.** ATP Tour tournaments shall have minimum Center court seating capacities, except as approved by ATP

- **Scenario 2** – reduced depending on the maximum number of spectators allowed on-site.
- **Scenario 3** – waived

**B. 1)** Minimum weekly attendance and **B. 2)** Average minimum attendance

- **Scenario 2** – waived
- **Scenario 3** - waived

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## 1.21 Hotel Accommodations (Rooms)

### TOURNAMENT HOTEL

**Scenario 1** – no changes with exception of increased distancing and sanitizing requirements introduced.

**Scenarios 2 & 3** – tournaments are encouraged to use one or as few hotels as possible based on the draw size to successfully provide safe accommodation conditions for the players, their support teams, tournament, and ATP staff. Hotels located near the tournament site are recommended. This would ease the reduced or no use of locker rooms and player lounges on-site.

**For all Scenarios**, all Players, team members and on-site event personnel must be placed in secure hotels or secured sections of hotels which isolates such people. Testing locations will be required at either a location outside the hotel or at an isolated location in the hotel, or alternative suitable venue.

The tournament hotel should be properly sanitized, and an individual plan put in place emphasizing players' and staff health while practicing distancing and following hygiene principles. This plan must be approved in advance by the ATP following the guidelines of the local government and health organizations.

- All players, team members and event personnel to be housed at specifically selected and approved hotels.
- Personal Protection Equipment (masks) to be worn when in public locations inside the hotel premises.
- All hotel employees are mandated to follow COVID-19 prevention guidelines from local government, public health authorities, WHO, CDC and ATP Medical Committee. COVID-19 health screening and testing must occur following every occasion an employee leaves the hotel site and returns.
- Approved quarantining facilities to be provided at the hotels.
- If a player travels with more than the two persons permitted on-site, then any such additional persons will not be allowed at the tournament venue and other facilities and are required to stay at the tournament hotel and comply with the applicable requirements in this document. This includes submitting to ATP testing and screening.
- Fitness Facility:
  - A private ATP fitness facility at hotel dependent on size of facility, location, and ventilation as large spaces are strongly recommended to mitigate the risk of infection. The minimum requirements for this facility remain the same for all levels of tournaments
  - Physical distancing required by only allowing 1 person per 4 square meters to train.
  - There must be an attendant monitoring and cleaning the fitness facility. Equipment must be disinfected before and after each use.
  - The gym must be equipped with sanitizing materials such as alcohol-based cleaning liquids and disposable towels; and have a designated cleaner to wipe down all areas/equipment after each use
  - Individuals must sign up for specific time to train at facility. Players and coaches are encouraged to only use the gym for workout that are not possible to be performed elsewhere and in open air.

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- Wherever possible, tournaments will be encouraged to set up outdoor gym areas allowing extensive ventilation.

The use of room service dining is strongly recommended. All meals per day will be provided in the safest feasible way.

- Breakfast will be provided at the official hotel only.
- Lunch will be provided in a similar and safe manner both at the tournament venue and at the official hotel.
- Dinner will be provided in a similar and safe manner or in the hotel guest room if requested. Additionally, dinner at the tournament venue will be supplied if participating at the event.
- Drinks and snacks throughout the day will be provided at designated locations at the tournament venue and at the official hotel for players and event personnel to access.

Players should use their discretion when leaving the hotel or accepting outside engagements to make sure they maintain social distancing and best practice to avoid contracting COVID-19.

## II. BRANDING

### 2.01 Identification - ATP Tour Tournaments

**Scenario 2** – reduced attendance across the board of all areas. Reduced facilities sizes and branding requirements. Following the directives of local government and health authorities to decide the maximum number of people allowed to maintain the required distancing measures and principles described for other shared areas in this document.

**Scenario 3** – no spectators allowed leading to no public areas required, no VIP and Sponsors' area and much reduced site branding and decoration requirements.

## III. FINANCIAL

### 3.08 Prize Money

#### A. General

#### 3) Singles:

- c) A player (or a team in case of doubles) who withdraws prior to his first match from the singles qualifying (after the Friday 12 noon freeze deadline) or main draw singles competition (after the start of qualifying) or main draw doubles (after the on-site online entry deadline) for Covid-19-related reasons at an ATP Tour or ATP Challenger Tour event shall receive first round prize money (second round in the case of a Bye) if the following conditions are met:
  - i) The player or team must be on-site at the time of the withdrawal.
  - ii) The player had withdrawn from his qualifying or main draw match due to confirmed COVID-19 positive test result, or was ruled ineligible to com-

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pete by the Supervisor based on recommendation from the Tournament Doctor in consultation with the Tournament Infection Control Officer and/or Public Health Authorities for suspected COVID-19 infection, or was quarantined/isolated due to being in close contact with a confirmed COVID-19 case.

- iii) There are no limits to the number of events a player may receive prize money for the on-site withdrawal as long as the events are not in back to back weeks.

**Doubles:** Should a doubles match in an ATP Tour or ATP Challenger Tour event be uncontested\* or fail to be completed, the losing team shall only receive points and prize money from the previous round unless one of the following exceptions is applicable:

- f) The retiring/withdrawing player had withdrawn/retired from his doubles match due to confirmed COVID-19 positive test result, or was ruled ineligible to compete by the Supervisor based on recommendation from the Tournament Doctor in consultation with the Tournament Infection Control Officer and/or Public Health Authorities for suspected COVID-19 infection, or was quarantined/isolated due to being in close contact with a confirmed COVID-19 case.

**Case:** *After the 12 noon withdrawal deadline on Friday for singles Q or after the on-line on-site entry deadline for doubles, two players/teams withdraw from the Qualifying/Main Draw Doubles list. One player/team was on-site and eligible for compensation due to the withdrawal being for COVID-19 reasons while the other player/team was ineligible to receive prize money. How is it decided what PM the Alternates will be receiving?*

**Decision:**

1. *The highest ranked Alternate player/team who was accepted in the draw is eligible to receive first round prize money or whatever money he/they earn in the tournament.*
2. *The second ranked Alternate player/team who was accepted in the draw will receive the prize money earned in the tournament, less the amount paid to the withdrawing player/team.*
3. *The same principle applies if there were more than two withdrawing players/teams eligible for substitution by Alternates in the singles qualifying/main draw doubles.*

## V. PERSONNEL

### 5.03 Public Relations

#### B. Responsibilities

- 2) The PR representative shall organize and supervise post-match press conferences. Allowed only with appropriate distancing in place (minimum 2m.) between the player and the person conducting the interview.

### 5.04 Doctor, Tournament Infection Control Officer (TICO), Physiotherapist and Massage Therapist

- 1) **Tournament Doctor:** A Chief Tournament Physician and staff of sports medicine-based physicians are required at all ATP Tour Events. Additional staffing

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may be needed due to the potential added responsibilities beyond typical and expected player evaluation and treatment of illness and injury. These additional duties would include and not be limited to oversight and assistance with participant screening for COVID-19.

### 2) **Tournament Infection Control Officer (TICO).**

The tournament in conjunction with the tournament physician will appoint an Infection Control Officer designated to work in collaboration with the ATP Medical Services Committee and ATP Tour Supervisor.

Tournaments must submit candidates for the TICO to ATP Medical Services for review well prior to the start of their tournament along with designation of their tournament medical staff. These should be submitted to the respective ATP regional office.

For the purposes of our COVID-19 application the ATP Medical Services Committee is comprised of the ATP Medical Services Committee, Medical Advisors as well as infectious disease, and public health specialists.

#### **Qualifications:**

- Degree in medical, nursing, epidemiology, infectious disease, public health, or related field
- Certification in Infection Control (CIC)
- At least 2 years' experience in infection control
- Preferred (voluntary) certification: Certification in Infection Prevention and Control is offered by the Association for Professionals in Infection Control and Epidemiology (APIC). APIC's certification exam is administered by the Certification Board of Infection Control and Epidemiology. To take the exam, professionals must have a bachelor's degree and prior experience.

#### **Role and Responsibilities:**

The infection control officer, in collaboration with the ATP Medical Services Committee and ATP Tour Supervisor, will:

- Provide expert knowledge in infection control principles and practices. Serve as a resource to the tournament in infection control principles, guidelines, and regulatory standards.
- Assist the tournament in identifying infection prevention and control goals and develop a strategy to achieve this
- Contribute to the provision of high-quality care by ensuring existing standards and guidelines of applicable professional organizations and regulatory and governmental agencies are incorporated into the tournament procedures.
- Design and implement the tournament's infection control testing and screening procedures based on the ATP Tour policies. Review laboratory information to identify potential infections. Abstract clinical information from respective data sources, analyze data and report to various audiences. Communicate findings to the ATP Medical Services Committee and appropriate tournament administrators. Implement appropriate action when necessary. Collaborate with the local public health authorities in the required

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reporting of infections and follow up.

- Assist the tournament in incorporating infection control principles into their policies and procedures. Collaborate with all disciplines and departments to develop and update area-specific policies and procedures pertinent to infection control principles.
  - Design and implement orientation and ongoing education programs on infection control for the tournament (inclusive of facility, food services, transportation, hotel, hazardous waste, and personal health and safety).
  - Evaluate and inspect the environment including sanitation and disinfection practices and observe personnel activities to detect infection hazards. Evaluate compliance with ATP Tour and local governmental and WHO/ CDC infection control standards and implement appropriate action when necessary.
  - Collaborate with the local health authorities, Tournament Physician, and ATP Medical Services Committee to investigate infectious outbreaks and implement action plans if necessary.
  - Collaborate with local health authorities, Tournament Physician, and ATP Medical Services Committee to investigate any exposure to communicable diseases. Contact appropriate individuals so that timely actions can be initiated to limit the spread of infection.
  - Respond to exposure inquiries, crisis, and emergencies as appropriate and in a timely manner to provide infection control exposure support when needed, 24/7.
- 3) **ATP Physiotherapists:** Predetermined numbers of ATP Physiotherapists will be assigned to each tournament venue. Physiotherapists arrive one day prior to the start of qualifying and staff the training room through the duration of the event. During the return to play period, additional volunteers, interns, and local physiotherapists would be prohibited to minimize staffing in the training room.
- 4) **Massage Therapists:** Based on draw size, the tournament provides massage therapists who are normally on-site throughout the tournament providing massages. To decrease the number of tournament staff on-site, it is recommended that massage therapy be located at the player hotel or alternative facility to minimize on-site staff and also minimize the time players are on-site before/after matches and practice sessions. Location of the massage therapist must be placed to allow for adequate social distancing both between massage therapists (if more than one therapist is staffed simultaneously) and between massage therapist(s) and Physiotherapists or other personnel if massage is located within a multi-disciplinary player treatment area. It is recommended that massage therapy services are provided in an area separate from the training room to minimize numbers of players and staff in any one room per government and medical recommendations on distancing. This distancing between tables / therapists would be greater than 6 feet (2 meters) per recommendations from the CDC and WHO.

### 5.06 Supervisor

No changes for all **3 scenarios** except an adjusted number of Supervisors depending on the draw size and MSP of the event.

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- Officials should always wear at least a protective face mask when on-site.
- At least 2m. social distance should always be maintained.
- Partitioning to be installed in all shared areas to provide distancing and personal protection.

### 5.07 Chair Umpire / Review Official

Number may be adjusted in certain circumstances.

- **Scenarios 2 & 3** – reduced number of officials approved based on the tournament MSP and courts in use.

### 5.09 Referee & 5.10 Chief of Umpires

- **Scenarios 2 & 3** – minimal staff approved in advance of the event.

### 5.11 Ball Persons

A suitable room with space enough to accommodate all ball persons, including the Chief of the Ball Persons and an assistant, if needed.

Clothing issued by the tournament must include appropriate PPE (face coverings).

- **Scenario 2** – reduced number required, and respectively reduced size and number of facilities.
- **Scenario 3** – extremely reduced or no Ball Persons (BP's) required.
- **For All Scenarios**, physical distancing measures to be put in place.
  - Ball Persons are to assist players strictly with the balls. No towel handling allowed.
  - A minimum of 2 meters distance to be kept from players on changeovers.
  - Only the court attendant will be responsible to replenish drinks and new towels to the players.
  - Maximum number of people at a time to be allowed inside the BP's lounge depending on its size and local regulations.

## VI. FACILITIES & ON-SITE CONDITIONS

### 6.01 Courts

#### H. Electronic Review.

- 1) With the exception of clay court events, all ATP Tour tournaments are required\* to provide an ATP approved electronic review system.

\*ATP 250 events may apply for a waiver of the electronic review requirement until further notice in 2021. Any such waiver could only be considered if the tournament provides sufficient Line Umpires to compensate the lack of electronic review system.

### 6.02 Match and Practice Courts

**Numbers:** No changes for all Scenarios.

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- All match and practice courts must be the same surface, speed and conditions as the main draw and must be available for practice from 9:00 A.M. on the day prior to the start of the tournament until the conclusion of the tournament.
- Courts must be set up to provide normal support, including drinks (water), sawdust and towels.
- A properly protected with Personal Protective Equipment (PPE) court monitor must be assigned to each match court plus one person with appropriate PPE assigned to take care of the practice courts.
- Crowd control (ushers) for all match courts should be in place from the beginning of the qualifying competition for **Scenarios 1 & 2**. No ushers needed for **Scenario 3**.
- All tournaments, indoor and outdoor, must have sufficient, properly protected with PPE (gloves, face covering, etc.) tournament staff to prepare, dry and clean courts exclusive of linesmen and ball persons. For safety reasons, linesmen and ball persons are prohibited from these activities.
- Players arriving on-site for practice only to go directly to the practice area or the gym.
- As soon as finished with practice players are to return to the hotel and not socialize with other players and or coaches.
- Specific holding areas to be designated and marked near each match and practice court where players can wait for the court to be vacated and cleaned before they enter.
- Players to enter a match and/or practice court only once all people have left the court and proper cleaning has been performed.
- No direct contact between tournament staff, umpires, ball persons and players when on court. A minimum of 2 m. distance to be maintained at all times.
- Handshaking is strongly discouraged.
- No kids escorting players onto court for matches.

Players will be able to practice on one occasion per day and should arrive to the facility at a time as close as possible to the time expected to practice to avoid waiting and help follow proper distancing.

### Post Match Court Cleaning

- A cleaning crew to be assigned to clean each court after each match.
- All cleaning personnel must wear PPE
- Disinfectant wipes per CDC Disinfection guidelines should be used for recommended health and safety precautions
- Areas that must be cleaned/disinfected include:
  - Player benches: seat, armrests, back, etc.
  - All player individual coolers.
  - Freezer handle (if on-court).
  - The lid and /or handle of any additional container such as towel box,



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balls, etc.

- Chair Umpire's chair: armrests, handles of stairs, seat, table, umbrella, microphone (including on/off switch)
- Each Line Umpire chair: seat, armrests
- Line Umpire crew rotations during the match – wipes should be provided to wipe down and disinfect seat and armrest of line umpire chairs with wipes
- Net knee cushion (should have plastic cover so it can be disinfected for each match) for ball person.
- Net, net strap, singles sticks, net posts.
- Players towel bins in each corner of the court
- Pole of the players' sunshades
- Gate latch/lock to court – Court Attendant to be responsible for opening/closing the gate and sanitize immediately afterwards.

### 6.03 BALLS

**A. Changes and Number. Match Balls.** Ball changes and the number of balls used per match shall be the same for all main draw matches throughout the tournament unless authorized by the supervisor. Cans of balls should be opened just prior to the match or ball change **by properly protected (gloves, face coverings, etc.) tournament staff**. In case of a suspended or postponed match, the match balls shall not be used in the warm-up, they shall only be used when play resumes.

#### C. Practice Balls

- Practice caution with the tennis balls. As few people as possible should handle the balls during a practice session.
- Players should avoid touching their face and wash their hands regularly as per the normal advice.
- Replace all balls if someone suspected to have COVID-19 comes in contact with them.
- Using new balls for every practice is strongly encouraged.

### 6.06 Equipment & Supplies

On-Court Equipment and Supplies must be sanitized on a regular basis by properly protected with PPE (gloves, face covering, etc.) tournament staff. The plan for the implementation of this rule must be approved by the ATP Supervisor in consultation with the ATP Medical Department, Tournament Infection Control Officer (TICO) and Tournament Physician.

#### A. Placement /Approval

- Covered bins with appropriate hands-free opening system clearly marked with a sign "Items for disinfection" to be placed on each court for used towels.
- Players to be provided with biodegradable bags for collecting used clothes during the match to be taken back by each player to the locker room and/or hotel. These items are prohibited from being thrown on the player bench or on the floor.

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## B. Chairs

### 1) Chair Umpire

After each match, every item in contact with the Chair Umpire must be disinfected before the next Umpire and players arrive on-court.

### 2) Line Umpire

For scenarios 1 & 2 each Line Umpire (LU's) chair must be disinfected after the completion of each rotation/session before the arrival of the new team.

### 4) Player Chairs

**Location** – for all 3 scenarios the chairs are to be placed a minimum of 2 meters apart from the CU's chair. In case of doubles the player's, chairs are to be separated by a minimum of 2 meters.

**Umbrella** – The umbrellas are only to be touched by the court assistant wearing PPE.

**F. Sawdust.** Separate containers for each player to be placed on each court and to be disinfected after each match for all 3 scenarios.

Four Containers would be required for doubles matches.

## G. Scoreboards

**Scenario 3** – no scoreboards are required. It is recommended however, to have one scoreboard per court for the sole purpose of updating the players.

## H. Towels

- Each tournament shall continue to provide towels for players on match and practice courts and the players' locker room. Towels should be pre-washed and large enough for the intended use.
- Increased number of towels to be expected and prepared.
- Strict protocol regarding collection and disinfection of used towels to be followed.
- Towels should be stocked, removed for cleaning, and replenished by properly protected with PPE (gloves, face covering, etc.) tournament staff. No other person including ball persons are allowed to touch player towels.
- Each court to be equipped with a bin/hook/basket where the players can leave their towels during the match. This area should be ideally located at the closest point for the player to be able to use their towel without delay. The two designated areas are near the baseline umpire or the corner of the courts. This position will be agreed upon by the Supervisor and the Tournament. There should be a bin/hook/basket which is high enough and off the ground for the players to reach without having to bend down. Each player/team should have their own bin/hook/basket where they can place their towels. There should be identification to clearly show each player's allocated bin/hook/basket. There should be 4 of these at each end and each side of the court to make a total of 8 on the court. This would be the case for singles and doubles.

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- At the conclusion of each match, all the used towels will be removed by the tournament operations team wearing PPE and all the towel holding areas will be disinfected.
- In addition, a thorough sanitizing process to occur after each practice and match play as described by the local government and health organization directive and the ATP Medical Committee recommendations.

### I. Beverages

Drinks must be replenished before and during matches by properly protected with PPE (gloves, face covering, etc.) tournament staff only.

#### 3) Coolers

Separate coolers are to be provided for each player on court during matches for all 3 Scenarios. The coolers must be sanitized and replenished after each match by properly protected with PPE (gloves, face covering, etc.) tournament staff only.

## 6.10 Tournament Credentialing and Ticketing

### B. General

#### 6) & 8)

**Scenario 2** – no changes to the procedures but limited number of people allowed to be granted credentials at each event. The direction of local government and health authorities is followed combined with the ATP Medical Committee guidance.

The Tournament venue must provide:

- Separate/single venue access routes for players (+2), and ATP staff vs credentialed attendees; and
- Adequate space to enable physical distancing throughout.

**Scenario 3** – only players + 2 (access and number of player support team members allowed may be increased in later stages of tournaments in progress) persons, essential tournament staff, ATP staff, Anti-Doping and Anti-Corruption personnel will be allowed on-site with a credential.

**E. Application.** For all 3 Scenarios tournaments are recommended to use an on-line application system for credentials to reduce the necessary time needed for processing credentials and to facilitate distancing.

## 6.11 Player Benefits - Guest Passes/Credentials/Tickets

In all cases, any and all credentialed persons must undergo the required health screening and COVID-19 testing before being allowed on-site.

Every person applying for a tournament credential must sign a COVID-19 waiver form prior to being granted access to any tournament areas, including but not limited to the tournament area at the airport, official hotel, and tournament venue. They will be considered core event areas where strict policies and procedures will apply.

**Scenario 3** – only players + 2 (access and number of player support team members allowed may be increased in later stages of tournaments in progress) per-

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sons, essential tournament staff, ATP staff, Anti-Doping and Anti-Corruption personnel will be allowed on-site with a credential.

### 6.12 Player Lounge

**For all scenarios**, physical distancing measures to be put in place temporarily.

- Partitioning to be installed inside the player lounge at a minimum height of 2 m. forming cubicle type of small areas for more privacy and protection. Each area to fit no more than 2 persons inside.
- Maximum number of people at a time to be allowed depending on the size of the lounge.
- Maximum amount of time spent in the lounge to be limited to allow players and coaches to have equal access to the lounge.
- Players would be encouraged to stay at their hotels and only arrive on-site for practice/training/treatment/matches and return to their hotels as soon as they have finished their essential on-site activities.
- Player Lounge to be used only in circumstances when waiting for a match.
- All surfaces used should be cleaned every hour by a tournament staff equipped with PPE using a disinfecting solution.

### 6.13 Locker Room

#### • Toilets & Showers

- The number of toilets and showers made available in the ATP locker room must be equal to or greater than the recognized standard of the country where the tournament is held.
- Shampoo and body wash should be available for all players in single use packaging.

**For Scenarios 1 and 2** - all current Locker Room requirements remain in place. The only difference will be increased monitoring and introducing a limit on the overall number of people allowed inside the locker room at a time (Suggestion: Max one person every 4 m<sup>2</sup>). Players are encouraged to minimize the use of the locker rooms as much as possible and limit it to essential pre-match preparation only.

Intensive and increased sanitizing procedures to be put in place including:

- Not allowing the use of showers or lockers less than 2 meters apart at the same time.
- Thorough regular cleaning of the locker room/showers/toilets multiple times daily by tournament staff wearing appropriate PPE following the sanitizing procedures defined in the medical guidelines below.
- Disinfecting materials to be readily available for the players to use such as disinfecting liquids, disposable towels and wipes, biodegradable bags should be available for items requiring reuse such as towels or used clothing
- Designated bins for items requiring disinfection and reuse such as towels and clothing to be in an isolated area of the room and clearly marked.

**For Scenario 3** - the use of locker rooms may not be allowed or extremely limited depending on local authorities' directives, and players must use their hotel room

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for this purpose.

### 6.14 Gym

The minimum requirements for the on-site player gymnasium remain the same for all categories of events. Larger space for the gymnasiums is strongly recommended to reduce the risk of infection.

- The use of the facilities should be limited as much as possible.
- Players and their coaches would be encouraged to only use the gym for workout not possible to be performed elsewhere and in open air.
- Maximum number of players to be allowed at a time inside the gym. The numbers will depend on the size and ventilation of the facilities with at least double the size of physical distancing recommendation in place at times. (Suggestion: One person every 4 m<sup>2</sup>)
- Wherever possible, tournaments will be encouraged to set up outdoors gym areas allowing extensive ventilation.
- The gym must be equipped with sanitizing materials such as alcohol-based cleaning liquids and disposable towels. See medical section for cleaning requirements.
- Minimal sanitizing staff with appropriate PPE must be available to maintain the required hygiene standard.
- Equipment must be disinfected before and after each use.
- The number of coaches allowed in the gym at a time should be minimal.

### 6.15 Stringing Service

- Sanitizing process to be added for each racket before returning it to a player.
- Stringers to wear Personal Protective Equipment when working and maintain a physical distance.

**For Scenarios 2 & 3**, setting up the stringing station outside the facility with a safe drop off and delivery service to minimize the number of staff on-site while keeping the service fast, safe, and functional should be considered.

### 6.16 Player Restaurant / Food

**Safety.** All water and electrolyte drinks must be served in unopened sealed containers. All food and beverages must be properly stored and maintained at an appropriate temperature (cold foods below 5 degrees Celsius and hot foods at 60 degrees Celsius), which complies with local health regulations.

- All universal allergens should be listed with each entrée with visible label
- Date of preparation should be listed on any pre-made items, such as sandwiches, pre-packaged fresh food items, etc.
- Partitions (plastic or glass screens) should be present.
- All food and beverages, including sports bars and sport beverages must comply with the Tennis Anti-Doping Program.

**For all Scenarios** in addition to above safety measures, the following measures should be implemented to properly ensure the safety of all players and their coaches and/or Physiotherapists, tournament staff and officials:

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- Reduced number of cooks depending on the number of people served will prepare the food.
- The kitchen must remain locked when not in operation.
- The chef will be responsible for unlocking the kitchen in the morning.
- All items must be stored in the kitchen in a singles-access closed space.
- Every time a new item enters the kitchen it must be disinfected.
- Gloves and face masks to be worn at all times by the cooks.
- One person should clean the kitchen once the kitchen is closed.
- The person in charge of cleaning would be responsible for locking the kitchen when finished.

### Restaurant Layout

When planning the layout of the player restaurant, it is required to plan enough space between the tables to allow physical distance at a minimum of 2 meters or more. Partitioning to be installed to increase player distancing and minimize the risk of transmitting COVID-19 when sharing a same room.

**For Scenario 3**, consideration for not allowing on-site dining, however individually packaged dining options should be available for the players and their coaches implementing a curb side take out service.

### 6.18 Tournament Transportation Service

**Scenario 1** – no changes with exception of increased distancing and sanitizing requirements introduced.

**Scenarios 2 & 3** – restrictions to the number of people allowed inside a vehicle and sanitizing requirements in place to allow safe environment following local government and health authorities' guidance.

**For all scenarios**, the tournament transportation vehicles should be properly sanitized and an individual plan to be put in place emphasizing players' and staff health while practicing distancing and following hygiene principles. This plan is to be approved in advance by the ATP following the guidelines of the local government and health organizations.

Designated waiting areas to be marked both on-site and at the tournament hotel allowing a minimum of 2 m. distance between people waiting for transportation.

All tournament staff and passengers to wear at least protective face masks when at the waiting areas.

### 6.23 Media Facilities Guidelines

**Scenario 1** - All requirements remain in place and no changes necessary with addition of distancing and sanitizing measures.

**Scenario 2** - Reduced amount of media to be allowed on-site. Numbers and facilities would vary locally based on the local government and health authorities' directives and ATP Medical Committee recommendations.

Each tournament should install video conferencing facilities at the press conference rooms to allow journalists that were not able to attend the event the ability to participate remotely.

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- **For Scenarios 1 & 2**, members of the media to wear at least a protective face mask always when on-site.
- At least 2m. distance should be kept between members of the media, tournament staff and players.
- Partitioning to be installed in all shared areas to provide distancing and personal protection.

**Scenario 3** – No media allowed on-site. ATP Media team in collaboration with the event organizers to set up a room fully prepared for video conferences where players will be brought after matches for video press conferences and one on one remote interviews. Every effort to be made to minimize the negative effect of the limitations.

The measures for all Scenarios are intended to minimize the exposure to COVID-19 and possible spread amongst the players and the tournament staff while understanding the importance of maintaining adequate media coverage.

### 6.25 Players Pre-Match Holding Area (PMHA)

- Tournaments should set up a player pre-match holding area each equipped with 2 chairs, exercise mat, Wi-Fi, and score update monitor (monitors positioned at a centrally highly visible location could substitute individual monitors in each area) for all 3 Scenarios.
- The PMHA could be set up inside a marquee with internal partitioning high enough for providing distancing for players and their coach/guest while waiting for their match.
- The number of match courts multiplied by 2 would identify the number of separate areas needed inside the general PMHA structure.
- Separate dedicated one-way entrance and exit of the PMHA to be assigned.
- Players and their support member should wear PPE any time they are exiting their individual area either on the way in or out of the PMHA.

### 6.26 Volunteers / Tournament Staff

A suitable room with space enough to accommodate all volunteers / staff is to be provided.

- **Scenarios 2 & 3** – reduced number of people and respectively reduced size and number of facilities required.

**For all scenarios**, physical distancing measures to be put in place temporarily.

- Maximum number of people at a time to be allowed depending on the size of the lounge.
- All categories of event personnel will be provided with meals in the most safe and healthy format and environment.
- Drinks and snacks throughout the day will be provided at designated locations at the tournament venue and at the official hotel for players and event personnel to access.

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## VII. THE COMPETITION

### 7.04 Entry/Withdrawal Method

#### A. Main Draw – Singles

- 1) No player shall be considered entered or withdrawn from an \*ATP Tour Masters 1000, ATP Tour 500\*\*, ATP Tour 250 or ATP Challenger Tour tournament unless his written entry or written withdrawal is received on or before the entry or withdrawal deadline by a player relations staff member, ATP Supervisor or through the PlayerZone. A player must have entered in order to be moved into the main draw as a direct acceptance because of the withdrawals of other players. For each ATP Tour Masters 1000 tournament, players with a FedEx ATP Rankings position that qualifies them as a direct acceptance or alternate, shall be automatically entered by ATP.
- \* For the mandatory ATP Tour Masters 1000 tournaments, this section is applicable for withdrawals only as entries are automatic.
- \*\* ~~Commitment players are automatically accepted into the main draw of all ATP Tour 500 events in which they have entered in a proper manner.~~

#### D. Sign-in Protocol:

All sign-ins (doubles, qualifying, alternates, lucky losers) must be done by phone via text messaging. Each entry must be received by the on-site supervisor prior to the deadline. The player is responsible for having his entry confirmed by the supervisor.

### 7.08 The Draw

#### A. Minimum Draw Size

ATP may and will modify the draw sizes of each category of events in 2021 to best address the current COVID-19 situation

### 7.18 Byes – Assignment and Placement

#### A. Singles Main Draw

- 3) If there is a 48\* or 96 main draw, each seed shall be awarded a bye.
  - \*0 – 6 “Performance Byes” (PB) in addition to the 16 byes for the top seeds will be made available for the 2021 DUBAI 500 tournament. These “Performance Byes” (PB) (max 2 PB spots per qualified event) are to be awarded to singles finalists and/or semi-finalists of the 2021 Santiago and Marseille tournaments or singles finalists of the 2021 Doha tournament whose ranking does not qualify them to be among the top 16 seeded players on the respective Main Draw list. To be eligible player(s) must be accepted in the Dubai singles main draw.
    - Each PB consists of 1 spot in the draw – to allow for the bye.
    - Priority for PB will be based on:
      - 1st priority Finalists in Santiago/Marseille/Doha in ranking order (most recent)
      - 2nd priority Semi-Finalists in Santiago/Marseille/ in ranking order (most recent)



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- For every PB not used, the Dubai Main Draw acceptance list would drop by one (1) prior to the start of Qualifying.

The deadline for confirming the number of PB's per event is the start of Qualifying in DUBAI.

The PB's are in addition to the Special Exempt position in the DUBAI main draw.

### DUBAI DRAW MAIN DRAW SINGLES COMPOSITION:

- 30 – 38 Direct Acceptances
- 6 - Qualifiers
- 4 – 5\* Wild Cards. \*Applies only for an A+ player from the region.
- 0 – 1 Special Exempts
- 0 – 6 Performance Byes

TOTAL: 48

- 4) If there is a 56 main draw, the top eight (8) seeds shall be awarded a bye.\*

\*0 - 4 "Performance Byes" (PB) in addition to the 8 byes for the top seeds will be made available if approved by ATP Board. These "Performance Byes" (PB) are to be awarded to singles semi-finalists of a 2021 Grand Slam event whose ranking does not qualify them to be among the top 8 seeded players or a Direct Acceptance on an ATP Masters 1000 event scheduled the week after the Grand Slam (if any).

- Each PB consists of 2 spots in the draw – one to allow acceptance into the main draw and one for a bye.
- For every PB not used, the ATP Masters 1000 main draw singles acceptance list would drop by two (2).

There are 3 possible scenarios for a player reaching the singles semi-final at the Grand Slam:

- If seeded 1-8 in the ATP Masters 1000, one (1) of the PB spots will be dropped. The next two (2) Direct Acceptances from the main draw alternate list will move into the main draw.
- If NOT seeded 1-8 in the ATP Masters 1000, the player will need the "Bye portion" of the PB only. The next direct acceptance from the main draw alternate list will move into the main draw.
- If NOT a direct acceptance in the ATP Masters 1000 Main Draw, the player will need the "full" PB (Entry and Bye).

The deadline for confirming acceptance of the PB is 12 noon on the Friday, Eastern Time, USA (withdrawal deadline for the ATP Masters 1000) before the tournament week.

## 7.20 Lucky Losers, Substitutions and Vacancies

### A. Lucky Loser Selection

**ATP POLICY:** Alternates (Lucky Losers) are to be ready when called upon to fill a vacancy.

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By signing the daily alternate (Lucky Loser) list they are declaring that they are on-site and ready to play, if needed. While every effort will be made by ATP staff to locate the alternate/LL teams it is the alternate/lucky loser teams/players responsibility to keep ATP staff informed of their whereabouts and to remove their names if they leave the site or otherwise become unavailable for substitution.

**NOTE:** Until further notice, only 3 Alternates/Lucky Losers will be allowed on-site at a time. The ATP reserves the right to modify the number of Alternates/Lucky Losers allowed on-site based on the tournament draw size and local conditions.

### D. Prior to Qualifying Competition

- 3) ATP Challenger Tour.** After the withdrawal deadline and prior to the start of Qualifying, vacancies will be filled in ranking order from the original main draw acceptance list by players who have preserved their position on the list. Players from the main draw alternate list who are directly accepted into qualifying are considered to have preserved their eligibility. A player can only preserve his eligibility on one list. If there are no Alternates from the original main draw acceptance list who have preserved eligibility, the vacancy will be filled by the highest ranked player from the qualifying or alternate list using the FedEx ATP Rankings, and then FedEx ATP Doubles Rankings, used for seeding.

If the vacancy was created by the withdrawal of a seed, then the procedures for replacing seeds are followed and the vacancy created by replacing the seed is filled by the next highest positioned player(s) from that event's alternate sign-in list, following the protocol specified above.

## 7.22 On-Court Procedures and Requirements

### L. Electronic Review / Electronic Review - Line Calling (Live ELC)

#### B. Electronic Review – Line Calling (Live ELC)

The use of an approved Live ELC electronic line calling system is temporarily authorized for use at select ATP events based on COVID-19 imposed limitations.

The following protocol shall be used.

- 1)** There shall be no line umpires. All lines shall be called using the Live ELC System approved by ATP to call all lines.
- 2)** Foot-faults will be called by a Review Official monitoring two (2) court-level cameras placed on the baseline and on the center service line at each end of the court if available or the Chair Umpire.
- 3)** In the unlikely event the Live ELC system malfunctions, play will be delayed for up until such time as the issue is corrected or 15 minutes have elapsed. If the issue is not resolved within 15 minutes the ATP Tour Supervisor will decide when and how the match will resume.
- 4)** If the Live ELC system fails to make a call, the call shall be made by the Chair Umpire. If the Chair Umpire is unable to determine if the ball was in or out, then the point shall be replayed. This protocol applies only to point ending shots or in the case when a player stops play. In the case where there is no call, and the player stops play, the umpire shall call for the shot to be displayed on the video board for confirmation.

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- 5) If equipment is available, automatic replays of the call will be shown on the video boards on point ending shots that are “out” by 15 centimeters or less. Winning shots warranting a replay will be manually directed to the video board by the Review Official.
- 6) Physical requirements for the on-site booth to be confirmed..

## VIII. THE CODE

### 8.04 Player Code of Conduct (“Code”)

#### D. Withdrawal Penalties

##### 1) & 2) ATP Tour 500 and ATP Tour Masters 1000.

There will be no ranking penalty assessed for any player withdrawing after the entry/withdrawal deadline. Third and subsequent withdrawals from an ATP Tour 500 or ATP Tour Masters 1000 will be fined according to the chart found at **8.04 B. 1) i) aa)**. Players may appeal withdrawal penalties to the SVP – Rules & Competition.

#### J. Repeal of Withdrawal Fines and/or Penalties

##### 2) ATP Tour 500

- d) **Appeal** - The player may appeal any applicable fine to the SVP – Rules & Competition by 10 days from the Monday of the event week.

##### 3) ATP Tour Masters 1000

- c) **Appeal** – The player may appeal any applicable fine to the SVP – Rules & Competition by 6:00 PM Eastern USA on the Tuesday of the event week.

#### J. Repeal of Withdrawal Fines and/or Penalties

##### 1) c) ATP Tour 250

##### 2) c) ATP Tour 500

##### 3) b) ATP Tour Masters 1000

**Promotional Activities.** On-Site promotional activities to alleviate applicable withdrawal penalties have been eliminated, however digital options for promotional activity may be considered by the ATP Public Relations (“PR”) representative.

#### M. On-Site Offenses/Procedures

##### 4) Offenses

##### g) Unsportsmanlike Conduct

- ii. Players and their support team members accredited at any event must comply with the physical distancing and COVID-19 precautionary measures applicable for each event. Any repetitive or blatant breach of those measures may be considered a violation of the code of conduct under this Section or the Major Offense of Conduct Contrary to the Integrity of the Game depending on the severity of the violation.
- iii. Violation of this section...

##### p) Post-Match Media Availability

Allowed only with appropriate distancing in place (minimum 2m.) between the player and the person conducting the interview.

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## IX. FED EX ATP RANKINGS

### 9.03 FedEx ATP Rankings

#### A. Commitment Players & B. Non-commitment Players

- 1) Due to the suspension of the ATP Tour and impact of COVID-19 pandemic, the FedEx ATP Ranking from 16 March 2020 has been frozen except for players serving suspensions for Anti-Doping or Corruption offenses.

Any player who is under suspension for Anti-Doping and or Corruption offenses is not eligible to have his ranking frozen for the duration period of the player's suspension for Anti-Doping and/or Corruption reasons.

- 2) For all other players the points from the player's 16 March 2020 ranking breakdown are frozen and will have their drop date extended by 52 weeks or until the event is played again the following year (whichever occurs first), including the points earned at the 2019 Nitto ATP Finals which will drop on the Monday following the last ATP Tour event of 2021.
- 3) Until the end of 2021 there will be no ranking penalties issued to players for ATP events. ATP Masters 1000 commitment will remain for Bonus Pool purposes.
- 4) Results from events following the resumption of the ATP Tour in 2020, up until and including the tournament week of 9 August 2021 will be added into the ATP Rankings in the following manner:

#### a) ATP Tour/Grand Slam Level Events:

2019 event will be replaced from a player's breakdown if that player plays the same event in 2020 and earns a better result.

- Results from all tournaments between 4 March – 5 August 2019, that were not played in 2020, will be extended a further 52-weeks and weighted at 50%. This reduction will occur at the originally designated drop date for each event and the 'Best Of' logic will only count the better of a player's two scores from the same Tour-level event.

2019 tournament results reduced to 50% will lose their mandatory status in player's ranking breakdowns and begin to be considered as 'Best Other'. For example, a player will be able to count either 50% of his 2019 Madrid result, or 100% of his 2021 Madrid result, whichever is greater, for the next 52 weeks.

2020 event will be replaced from a player's breakdown if that player plays the same event in 2021\* and earns a better result.

- Results from rescheduled 2020 tournaments (Kitzbuhel, Hamburg, Rome & Roland-Garros) will also be included for additional 52 weeks at 50% from their originally scheduled drop date and be compared against the 2021 event in a 'Best Of' logic. The 2019 result of these four events will drop from the rankings as per their currently scheduled 2020 drop date.

#### (i) A player's result will only be replaced if the result is better.

- aa)** If the result is better, it will be considered a 'best other' for ranking purposes.

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- bb)** If the player does not play the 2020/21\* Main Draw because he did not have a high enough ranking to be an original main draw direct acceptance and never moved into the main draw, his previous year's result will be considered a 'best other'.
- (ii)** If a player earns fewer points or ties his previous year's result, his previous year's result will remain in his ranking.
- (iii)** If a player did not play the previous year's event, his result will count if better than the lowest "best other" .
- (iv)** If a player earned a 0-point ranking penalty his result can/will replace the 0-pointer if better.
- (v)** If a player earned a 0-point ranking penalty and does not play the following year's event his previous year's 0-point ranking penalty will remain in his ranking.
- (vi)** A player cannot count the same ATP Tour/Grand Slam result twice in his breakdown.
  - aa)** Example: cannot count '19 and '20 or '20 and '21\* result from the same tournament, will use the better of the two results.
- (vii)** An ATP Tour/Grand Slam qualifying result can/will be replaced by the main draw of that tournament and vice versa.
- (viii)** New results from ATP Tour/Grand Slam events in 2021 that replace the 2019 or 2020 event will stay on until event is played again the following year or 52 weeks, whichever occurs first.

### **b) ATP Challenger/ITF WTT Level Events:**

Players who compete in ATP Challengers/ITF WTT events post the ATP Tour suspension will use the new, 2020/2021\* result to replace their worst 'best other' result if the 2020/21\* result is better.

Results from all tournaments between 4 March – 5 August 2019, that were not played in 2020, will be extended a further 52-weeks and weighted at 50%. This reduction will occur at the originally designated drop date for each event and counted in the 'Best Other' logic.

- (i)** A player's worst 'best other' will only be replaced if the 2020/21\* result from an ATP Challenger/ITF WTT event is better.
  - (ii)** New results from an ATP Challenger/ITF WTT that replace player's worst 'best other' will stay on the players' FedEx ATP ranking for 52-weeks.
- 5)** Beginning on 16 August 2021, the ATP rankings will add and drop points as per the ATP Rules prior to the COVID-19 pandemic outbreak. However, there will be no mandatory tournaments for ranking purposes through the end of 2021.

\*Applicable for tournaments up to and including the week of 9 August 2021 only.

**C. ATP Tour 500 – Ranking Penalty.** There will be no ranking penalty assessed for any player withdrawing from an ATP Tour 500 event through the end of 2021, whether on time or after the 12-noon deadline.

### **F. Entry Protection**

- 2) Calculation and Use.** A player who has been out of competition and is applying for Entry Protection may not include any period of (Doping, Corruption and/or Conduct) suspension in the player's weeks away from competition in order to qualify for Entry Protection.

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## 3) Limit of Use

- c) The use of a protected ranking to enter the singles and/or doubles of a Grand Slam event is limited to once per Grand Slam event except in situations where the COVID-19 suspension of the Tour leads to one or more Grand Slams not being held in a calendar year. In these situations, players would be allowed to use an otherwise valid entry protection for entry and competition at the same Grand Slam event more than once if not exceeding an overall limit of four Grand Slams in total.

## 6) COVID-19 Protected Ranking

Starting from 8 March 2021, players who are out of competition for four consecutive weeks will, on one occasion, be eligible for a COVID-19 Protected Ranking (CPR). The expiration of using the CPR is 21 March 2022 and is designed to allow players greater flexibility in their scheduling without harming their ability to enter future tournaments. The current length of being able to obtain a CPR is through and including the week of 8\* November, 2021. Eligible players will be granted four entry opportunities, excluding ATP Cup, Grand Slams and/or the Olympic Games using their 'frozen' ranking.

Players will only be able to use their COVID-19 Protected Ranking for entry into as many ATP Masters 1000 events as they miss during their time away from competition.

\*The extension period from the week of 9 August to and including the week of 8 November 2021 is only applicable for players that meet the out of competition criteria due to testing positive for Covid-19 and ordered in isolation, or quarantined because of being ruled as close contacts.

## 9.04 FedEx ATP Doubles Rankings

**A.** The FedEx ATP Doubles Rankings is based on calculating, for each player, his total points from his best 19 results from all eligible tournaments, including the Nitto ATP Finals (Doubles) played in the Ranking period. For entry purposes there are no mandatory events.

- 1) For a player that plays any Grand Slam or Masters 1000 event upon the Tour resumption, he must earn a better result in 2020/21\* to have the event be considered 'best other' for ranking purposes
- 2) For a player that does not play any Grand Slam or Masters 1000 event upon Tour resumption, the previous year's event will be considered 'best other'

\*Applicable for tournaments up to and including the week of 9 August 2021 only.

**E. Points.** The provisions set forth under 9.03.E.1), 2) and 3) related to the FedEx ATP Rankings apply to doubles as well, the point table being similar, except that the second-round loser column becomes irrelevant. No points are awarded in the first round at any event. Should a doubles match in an ATP Tour or Challenger Tour event be uncontested or fail to be completed, the losing team shall only receive points and prize money from the previous round unless one of the following exceptions is applicable:

- f) The retiring/withdrawing player had withdrawn/retired from his doubles match due to confirmed COVID-19 positive test result, or was ruled ineligible to

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compete by the Supervisor based on recommendation from the Tournament Doctor in consultation with the Tournament Infection Control Officer and/or Public Health Authorities for suspected COVID-19 infection, or was quarantined/isolated due to being in close contact with a confirmed COVID-19 case.

## X. EXHIBITS

### EXHIBIT D - Attendance Standards

#### 1) Recommended Attendance Standards

##### a) ATP Tour Masters 1000 & ATP Tour 500 Tournaments

- **Scenario 1** – no change
- **Scenario 2** – waived
- **Scenario 3** – waived

##### b) ATP Tour 250

- **Scenario 1** – no change
- **Scenario 2** – waived
- **Scenario 3** - waived

#### 2) Minimum Weekend Attendance

- **Scenario 1** – no change
- **Scenario 2** – waived
- **Scenario 3** – waived

### EXHIBIT J – Prize Money

Please refer to Detail Sheet for revised prize money breakdowns.

#### Prize Money Formulas - 2021 ATP Tour Prize Money Calculation Method:

##### ATP 500 & 250's (subject to review by 30 April 2021):

Calculated by local authorities allowance against 2019 maximum capacity:

- No reduction if full capacity
- 20% reduction with limited fans - greater than 50% capacity
- 40% reduction with limited fans - up to 50% capacity
- 50% reduction with no fans

Adjustments may occur based on changes in local authority allowance between the defined advanced Prize Money deadline and the completion of each event.

##### ATP Masters 1000:

Calculated by 2021 Ticket units sold against 2019 ticket units sold:

- No reduction if greater than 85% ticket units sold
- 20% reduction with 71-85% ticket units sold
- 40% reduction with 61-70% ticket units sold
- 50% reduction with 41-60% ticket units sold
- 60% reduction with 0-40% ticket units sold