

## VIII. THE CODE

### 8.01 Tournament Obligations

#### A. Application Requirements

Each tournament agrees to comply with all provisions contained in the ATP Tour tournament and ATP Challenger Tour Applications where applicable.

#### B. Ranking Based Entry

Each tournament agrees to accept entries of tennis players on the basis of the PIF ATP Rankings and the PIF ATP Doubles Rankings.

Notwithstanding the foregoing, Russian and Belarusian nationals may be required (as determined solely by ATP) to provide a signed Declaration of Neutrality (in a form approved by ATP) in order for them to enter and participate in certain tournaments.

**Exception 1:** In the event that a government or country imposes restrictions preventing Russian or Belarusian nationals from entering the country after the singles or doubles main draw entry deadline, ATP reserves the right, in its sole discretion, to permit the tournament to proceed. In addition, in such instance, ATP may allow the affected Russian or Belarusian nationals to play in another ATP event.

**Exception 2:** ATP will accept tournaments in countries where Covid-19 vaccination is required for entry into the country.

#### C. Other Circuit

Each ATP Tour tournament and ATP Challenger Tour tournament agrees to refrain from being advertised or promoted as part of any other circuit or series of tournaments, unless expressly authorized by ATP.

### 8.02 Tournament Standards Violations

No ATP Tour or ATP Challenger Tour tournament shall violate any provision of ATP's rules, regulations, or conditions of approval. Unless otherwise specified, a violation of this section shall subject the tournament to a fine specified in the table below\* ("Tournament Standards Violations Fines Table").

Violation	Sanction**
Failure to meet basic Tournament Standards with no material impact on competition, players, or the overall image of the ATP Tour / ATP Challenger Tour / tournament	Warning or a fine up to \$25,000
Failure to meet Tournament Standards with minor impact on competition, players or the overall image of the ATP Tour / ATP Challenger Tour / tournament	Fine up to \$50,000

## VIII. THE CODE

Violation	Sanction**
Failure to meet Tournament Standards with significant impact on competition, players or the overall image of the ATP Tour / ATP Challenger Tour / tournament	Fine up to \$100,000
Major, willful, and/or repetitive non-compliance with the Tournament Standards	Fine up to a maximum of \$250,000 and/or a change in category and/or membership status***

\*Tour Tournament Standards Violation Fines Table under review.

\*\*Specific amounts are on a per violation basis and should depend and may vary on the severity of the violation and issue(s) presented. This maximum fine amount will increase by one-hundred percent (100%) for each consecutive year violation of the same standard by a tournament.

\*\*\*Change in category and/or membership status is subject to a decision by the ATP Board.

### A. On-Site

On-site violations may include, but are not limited to, tournament obligations specified in the current ATP Official Rulebook under sections titled ATP Circuit Regulations, Branding, Financial, Personnel and Facilities & On-Site Conditions.

### B. Security at Tournaments

Each tournament has the responsibility to provide security at the tournament site. Tournaments must submit their security plan sixty (60) days in advance to the ATP Security Director.

### C. Tournament Report

Each ATP Tour and ATP Challenger Tour tournament shall submit to ATP a report of the tournament and its affairs as requested by ATP.

### D. ATP Fantasy Sport and Sponsorship

ATP Tour tournaments may enter sponsorship agreements with a company that offers wagering on tennis (a "Tennis Betting Operator") subject to the terms and conditions stated in Exhibit AB. The agreements may not extend beyond December 31, 2026. ATP Challenger 125 and 175 tournaments may enter sponsorship agreements with a Tennis Betting Operator subject to those agreements being sold and controlled centrally by the ATP, the revenues are distributed to the relevant tournaments and follow the rules applicable to ATP Tour events. The agreements for ATP Challenger 125 and 175 tournaments may not extend beyond December 31, 2026. ATP will assess any negative effects of such sponsorship agreements in determining whether to extend these periods.

ATP Tour tournaments may accept sponsorship from a fantasy sport branded company promoting only the fantasy sport brand. If the company does not offer, and is not

## VIII. THE CODE

affiliated with a company that offers, wagering on tennis, or if a betting, casino, lottery or fantasy sport-branded company is not a Tennis Betting Operator and the proposed sponsorship will not promote a Tennis Betting Operator, these rules shall not apply to the sponsorship and there are no restrictions on the sponsorship agreement, except that ATP approval shall still be required and Tournament Support Personnel shall still comply with the TACP (as defined below). If, during the term of the sponsorship, the company becomes a Tennis Betting Operator or the sponsorship will promote a tennis betting brand, the sponsorship must comply with these rules. However, most fantasy sport brands offer, or are affiliated with companies that offer, wagering on tennis and constitute a Tennis Betting Operator as defined herein. In that case, all the terms and conditions in Exhibit AB apply to such fantasy sport Tennis Betting Operator. Such agreements may not extend beyond December 31, 2026.

### E. On-Site Tennis Betting

No ATP Tour or ATP Challenger Tour tournament shall, directly or indirectly, solicit or facilitate any person to wager on tennis matches while at the tournament site. Allowing betting companies, directly or through a third party, to accept any tennis wagers (electronically or otherwise) at the tournament site or any tournament related event is prohibited.

### F. Determination of Violation and Penalty

The SVP – Rules & Competition shall make a reasonable investigation to determine the facts regarding all tournament on-site offenses. Upon determining that a violation has occurred, the SVP – Rules & Competition shall specify the fine up to \$10,000 and/or other punishment in written notice to the tournament. The ATP Members Fines Committee will make determination for fines over \$10,000 and/or other punishment in written notice to the tournament. The tournament shall have the right to appeal such determination to the ATP Members Fine Committee for violations up to \$10,000 or to the ATP Standards Committee for violations over \$10,000, consistent with the procedures outlined in the Code.

### G. Payment of Fines

Fines levied by the SVP – Rules & Competition for tournament on-site offenses shall be paid in accordance with the following:

- 1) ATP Tour and ATP Challenger Tour Tournaments. Each tournament shall promptly pay the fine to ATP.
- 2) The tournament may authorize ATP to withhold the amount of the fine from any monies owed the tournament by ATP.
- 3) If the monies owed to the tournament are insufficient to pay the fine, the tournament shall pay the balance within twenty-one (21) days after receiving written notice of the fine.

### H. Procedures for Appeal

- 1) Any tournament in violation of a tournament on-site offense may, after paying all fines, appeal to the ATP Members Fine Committee for violations up to \$10,000 or to the ATP Standards Committee for violations over \$10,000 for review of a determination of guilt and the penalty assessed.

## VIII. THE CODE

- 2) Such appeal shall be in accordance with the procedure specified in Section 8.03 F. 4.

### 8.03 Tournament Major Offenses

No ATP Tour or ATP Challenger Tour tournament shall violate any provision of ATP's rules and regulations contained below under the Tournament Major Offense section of the current ATP Official Rule Book. Unless otherwise specified, violation of this section shall subject the tournament to a fine up to \$250,000, or in the case of Tournament Standards Violation under the Tournament Standards Violations Fine Table, plus any additional financial penalties specified in other rules, and/or change in membership status, and/or forfeiture of all sums, if any, previously paid to ATP.

#### A. Conduct Contrary to the Integrity of the Game

The favorable reputation of ATP, its tournaments and players is a valuable asset and creates tangible benefits for all ATP members. Accordingly, it is an obligation for ATP Tour or ATP Challenger Tour tournaments, owner(s), promoter(s), operator(s) or representative(s) thereof, to refrain from engaging in conduct contrary to the integrity of the game of tennis. Conduct contrary to the integrity of the game shall include, but not be limited to, comments to the news media publicized comments that unreasonably attack or disparage any person or group of people, a tournament, sponsor, player, official or ATP.

Responsible expressions of legitimate disagreement with ATP policies are not prohibited. However, public comments that one of the stated persons above knows, or should reasonably know, will harm the reputation or financial best interests of a tournament, player, sponsor, official or ATP are expressly covered by this section.

#### B. Aggravated Behavior

- 1) No ATP Tour or ATP Challenger Tour tournament, or any person who directly or indirectly has a controlling ownership interest therein or who is the Designated Representative (as defined in the ATP By-Laws) or Tournament Director or other employee or agent of an ATP Tour or ATP Challenger Tour tournament shall engage in aggravated behavior which is defined as follows:
  - a) One incident of behavior that is flagrant and particularly injurious to the success of the ATP or its members or is singularly egregious.
  - b) A series of two (2) or more violations of this Code in consecutive years which singularly do not constitute aggravated behavior, but when viewed together establish a pattern of conduct that is collectively egregious and is detrimental or injurious to ATP and/or its members.

#### C. Promotional Fees

- 1) ATP Tour 500 and ATP Tour 250 tournaments have the option to offer fees for promotional services. No other ATP Tour or ATP Challenger Tour tournament owner, operator, sponsor or agent is permitted to offer, give or pay money or anything of value, nor shall the tournament permit any other person or entity to offer, give or pay money or anything of value to a player, directly or indirectly, to influence or assure or entice a player's competing in a tournament or event within the tournament, other than prize money, unless authorized to do so by ATP.

## VIII. THE CODE

- 2) In the event the ATP CEO or Senior Vice President - Rules & Competition believes that a tournament may be violating this section, then upon demand, the tournament must furnish to the Senior Vice President - Rules & Competition or his agent access to and copies of all records to which it has access relating to such alleged prohibited compensation or, in the absence of such records, an affidavit setting forth the facts in detail with respect to any transaction under question by the Senior Vice President - Rules & Competition. In the event a tournament fails to provide such records or affidavit for such audit, it may be subject to a fine up to \$100,000 and termination of membership, pending compliance with such demand.
- 3) Violation of this section shall subject the tournament to a fine up to \$100,000 plus the amount or value of any such compensation, and termination of membership, and/or forfeiture of all sums, if any, previously paid to ATP.

### D. Wagers and On-Site Tennis Betting

No ATP Tour or ATP Challenger Tour tournament, ATP member or any person who directly or indirectly has a controlling ownership interest therein or who is the Designated Representative (as defined in the ATP By-Laws) or Tournament Director or other employee or agent of an ATP Tour or ATP Challenger Tour tournament or ATP member (excluding employees or agents who do not have executive or material management authority) shall engage in any form of gambling or wagering in connection with any ATP Tour or ATP Challenger Tour tournament.

### E. Wild Cards

No ATP Tour or ATP Challenger Tour tournament, or any person who directly or indirectly has a controlling ownership interest therein or who is the Designated Representative (as defined in the ATP By-Laws) or Tournament Director or other employee or agent of an ATP Tour or ATP Challenger Tour tournament shall directly or indirectly, accept compensation in exchange for a wild card.

### F. Investigation, Determination, Imposition and Review

- 1) The Senior Vice President - Rules & Competition/ATP Members Fines Committee, as applicable, shall investigate all facts concerning any alleged tournament violation of an ATP rule or regulation and shall provide written notice of such investigation to the tournament involved. The tournament shall be given at least five (5) days (excluding weekends) to provide to the Senior Vice President - Rules & Competition/ATP Members Fine Committee, directly or through counsel, such evidence as the tournament deems to be relevant to the investigation. The Senior Vice President - Rules & Competition/ATP Members Fine Committee shall conduct the investigation in consultation with the applicable ATP Regional EVP or SVP.
- 2) Upon the completion of the investigation, the Senior Vice President - Rules & Competition/ATP Members Fine Committee, as applicable, shall determine the innocence or guilt of the tournament involved and, in the latter case, shall state in writing the facts as found by him, his conclusions and the penalty to be imposed on the tournament. A copy of the decision of the Senior Vice President - Rules & Competition/ATP Members Fine Committee shall be promptly delivered to the tournament with copies to the ATP CEO, or in the case of Standards Violations,

## VIII. THE CODE

the ATP Members Fine Committee up to \$10,000 or the ATP Standards Committee for fines over \$10,000 and the applicable ATP Regional EVP or SVP.

- 3) All fines shall be paid by the tournament by delivery to the Senior Vice President - Rules & Competition within twenty-one (21) days after receipt of written notice.
- 4) Any tournament found to have violated an ATP rule, regulation or condition of approval that results in a fine, may, after paying all fines, petition the ATP CEO for discretionary review, or in the case of Standards Violations, the ATP Members Fines Committee for fines up to \$10,000 or the ATP Standards Committee for fines over \$10,000. This petition shall be in writing and must be filed with the ATP CEO, Senior Vice President - Rules & Competition, or the ATP Members Fine Committee/ATP Standards Committee (as applicable) within twenty-one (21) days after notice of the determination and penalty is received by the tournament. (The Senior Vice President - Rules & Competition/ATP Members Fines Committee, where applicable, shall forward the review petition promptly to the ATP CEO or ATP Members Fines Committee/ATP Standards Committee (as applicable)). Such petition shall state in detail the basis for the appeal. Within twenty-one (21) days after receipt of the petition, the ATP CEO or his designee, or in the case of Standards Violations, the ATP Members Fines Committee/ATP Standards Committee (as applicable) shall determine whether the appeal should proceed to a hearing or if a determination based upon the facts as presented is appropriate. If the ATP CEO or his designee, or in the case of Standards Violations, the ATP Members Fines Committee/ATP Standards Committee (as applicable) determines that the appeal should not proceed to a hearing, then the decision, upon notice to the tournament, becomes final. This decision may affirm, reverse or modify the decision of the Senior Vice President - Rules and Competition/ATP Members Fines Committee. If the ATP CEO or his designee, or in the case of Standards Violations, the ATP Members Fines Committee/ATP Standards Committee (as applicable) determines that the appeal should proceed to a hearing, he shall designate a date; time and place for the hearing of the appeal, and the ATP CEO or his designee, or in the case of Standards Violations, the ATP Members Fines Committee/ATP Standards Committee (as applicable) shall notify the tournament and the Senior Vice President - Rules & Competition/ATP Members Fines Committee. At the hearing, the tournament and the Senior Vice President - Rules & Competition/ATP Members Fines Committee shall present to the ATP CEO or his designee, or in the case of Standards Violations, the ATP Members Fines Committee/ATP Standards Committee (as applicable), their respective positions on the facts. On the appeal, the ATP CEO or his designee, or in the case of Standards Violations, the ATP Members Fines Committee/ATP Standards Committee (as applicable) may affirm, reverse or modify the decision of the Senior Vice President - Rules & Competition/ATP Members Fines Committee. If the appeal is decided against the tournament, then the ATP CEO or his designee, or in the case of Standards Violations, the ATP Members Fines Committee/ATP Standards Committee (as applicable) shall charge to the tournament the reasonable costs of the appeal, which shall include, but not be limited to, the reasonable travel and living expenses incurred by all witnesses.
- 5) If the penalty imposed on the tournament includes a recommendation for loss or change in tournament membership status, that recommendation shall be reviewed by the ATP Board, which may implement, modify or reject the recommendation of the Senior Vice President - Rules & Competition/ATP Members Fines Committee or ATP Standards Committee. The imposition of any non-fine penalty by the ATP Board shall be made in accordance with the By-laws.

## VIII. THE CODE

- 6) Service of any document on a tournament as is required by this section shall be deemed completed if mailed to the Tournament Director at the address indicated in the tournament application or as subsequently revised by the tournament member. Any written communication to be sent to the ATP CEO or Senior Vice President - Rules & Competition, or in the case of Standards Violations, the ATP Members Fines Committee/ATP Standards Committee (as applicable) should be addressed as follows, unless notice of change is subsequently published.

ATP CEO	OR	SVP - Rules & Competition or ATP Fines/Standards Committees
22 Worple Road		ATP
Wimbledon		201 ATP Tour Blvd.
SW14 4DD		Ponte Vedra Beach, FL 32082, USA
Telephone: +44 207 381 7890		Telephone: +1 904 285 8000
Facsimile: +44 207 381 7895		Facsimile: +1 904 779 3300

- 7) ATP is authorized to obtain collection of all overdue fines along with costs, if any, by all reasonable means, including legal proceedings as may be deemed necessary and appropriate.

### 8.04 Player Code of Conduct (“Code”)

#### A. Entry/Withdrawal Offenses

**Entry Obligations.** No player or team entered into the main draw or moved into the main draw as a direct acceptance of a tournament may withdraw after the entry and withdrawal deadline or not appear for first-round match(es) without penalty as described below. The Senior Vice President, Rules & Competition shall make such investigation as is reasonable to determine the facts regarding any such entry offense and, upon determining that a violation has occurred, shall specify the fine.

#### B. Fines

##### 1) ATP Tour Tournaments

- a) The provisions relating to assessment and payment of withdrawal, late withdrawal or punctuality fines for tournaments are separate from but in addition to the provisions of the player ATP commitment. The penalties are:

##### i) Singles

- aa) **ATP Tour 250 Only.** Withdrawals occurring prior to the 10 AM, Eastern time, USA, Friday withdrawal deadline:

ATP PIF Rank- ings	Third (3rd)	Fourth (4th)	Fifth (5th) and Subsequent
<u>(most recent)</u>	<u>Offense</u>	<u>Offense</u>	<u>Offenses</u>
1 - 10	\$10,000	\$20,000	\$40,000
11 - 25	\$5,000	\$10,000	\$20,000
26 - 50	\$2,000	\$4,000	\$8,000

## VIII. THE CODE

51-100	\$1,000	\$2,000	\$4,000
101 +	\$500	\$1,000	\$2,000

### bb) ATP Tour Masters 1000, ATP Tour 500 & 250 events.

Withdrawals occurring after the 10 AM, Eastern Time, USA, Friday withdrawal deadline (Late Withdrawals):

ATP PIF Rankings <u>(most recent)</u>	First (1st) <u>Offense</u>	Second (2nd) <u>Offense</u>	Third (3rd)* and Subsequent <u>Offenses</u>
1 - 10	\$20,000	\$40,000	\$80,000
11 - 25	\$10,000	\$20,000	\$40,000
26 - 50	\$4,000	\$8,000	\$16,000
51-100	\$2,000	\$4,000	\$8,000
101 +	\$1,000	\$2,000	\$4,000

\*For purposes of determining 2nd, 3rd and Subsequent Offenses, only Late Withdrawals are counted.

#### ii) Doubles.

**aa)** If the withdrawal was after 10 AM, Eastern time, USA, Friday and prior to the onsite sign-in deadline then the fine is \$1,000 per team member (doubled if team would have been seeded).

**bb)** If the withdrawal was after the on-site sign-in deadline then this is to be considered as a Late Withdrawal and the fine is \$2,500 per team member (doubled if team would have been, or was, seeded).

**iii) Doubles Qualifying.** If the withdrawal was after 10 AM Eastern time, USA, Friday, then the fine is \$500 per team member (doubled if the team would have been seeded).

#### iv) Doubles – Exceptions

**aa)** If both members of the team were on-site at the time of the withdrawal and the withdrawal was due to a medical condition, then neither player is subject to a fine.

**bb)** If the withdrawal was due to one or both members of the team being accepted into the main draw singles of another event, then neither player is subject to a fine.

**b)** Fines shall be doubled in the case of any player who would have been seeded, based on the most recent PIF ATP Rankings.

**c) ATP Tour Masters 1000 or ATP Tour 500 Qualifying.** Third and subsequent withdrawals from the qualifying competition will be fined \$250 or \$500 if he would have been seeded based upon the most recent PIF ATP Rankings.

**ATP Tour 250 Qualifying.** Third and subsequent withdrawals from the qualifying competition will be fined \$250 or \$500 if he would have been seeded based upon the most recent PIF ATP Rankings.

**All ATP Tour Qualifying events.** If the player withdraws after the 10 AM Eastern time, USA, Friday deadline, or is a No Show, it is a Late Withdrawal and the fine shall be \$1,000 (or \$2,000 if seeded).



## VIII. THE CODE

- d) In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this section shall also constitute the player Major Offense of Aggravated Behavior.

### 2) ATP Challenger Tour Tournaments

- a) The penalty for fourth and subsequent withdrawals is a fine of \$250 for each offense and applies to singles. Fines shall be doubled in the case of any player who would have been seeded, based on the most recent PIF ATP Rankings.
- b) Any singles withdrawal occurring after 10 AM, Eastern Time, USA on Friday, shall be assessed a fine of \$1,000 (or \$2,000 if seeded).
- c) **ATP Challenger Tour Qualifying.** Fifth and subsequent withdrawals from the qualifying competition will be fined \$150 or \$300 if he would have been seeded based upon the most recent PIF ATP Rankings. If the player withdraws after the 10 AM Eastern time, USA, Friday deadline, or is a No Show, it is a Late Withdrawal and the fine shall be \$500 (or \$750 if seeded).
- d) **ATP Challenger Doubles.**
  - i) If the withdrawal was after 10 AM, Eastern time, USA, Friday and prior to the onsite sign-in deadline then the fine is \$500 per team member (doubled if team would have been seeded).
  - ii) If the withdrawal was after the on-site sign-in deadline then this is to be considered as a Late Withdrawal and the fine is \$1,000 per team member (doubled if team would have been, or was, seeded).
  - iii) Doubles Exceptions apply as noted above for ATP Tour.

### C. Tournament Rebates

Tournaments shall receive a rebate from ATP when the following conditions have occurred:

- 1) **ATP Tour Masters 1000.** All fine amounts collected as a result of late withdrawals shall be returned to the tournament where the late withdrawal occurred.
- 2) **ATP Tour 500.** All fine amounts collected as a result of late withdrawals shall be returned to the tournament where the late withdrawal occurred.
- 3) **ATP Tour 250.** All fine amounts collected as a result of withdrawals or late withdrawals shall be returned to the tournament where the withdrawal or late withdrawal occurred.
- 4) **ATP Tour Qualifying.** All fine amounts collected as a result of withdrawals or late withdrawals shall be returned to the tournament where the withdrawal or late withdrawal occurred.

### D. Withdrawal Penalties

- 1) **ATP Tour 500.** Any player withdrawing after the entry/withdrawal deadline shall have a ranking penalty assessed in accordance with procedures specified in the ranking section of this rule book. Players shall not have the ranking penalty assessed if they complete the requirements for "promotional activities"; are out of competition for 30 days; or the withdrawal complied with the requirements for an on-site withdrawal. Players may appeal withdrawal penalties to a Tribunal who will determine whether the penalties are affirmed or set aside.

## VIII. THE CODE

- 2) **ATP Tour Masters 1000.** Any player withdrawing from the main draw shall have a ranking penalty assessed in accordance with procedures specified in the ranking section of this rule book and be suspended from a subsequent ATP Tour Masters 1000 event. This event shall be the event where the player earned the highest point total during the previous 12 months. Subsequent withdrawals will carry a second suspension from the next event where the player earned his second highest point total. Additional withdrawals will include further suspensions in the same manner. Players shall not have the suspension penalty assessed if they complete the requirements for “promotional activities” or the withdrawal complied with the requirements for an on-site withdrawal. Players may appeal suspension penalties to a Tribunal who will determine whether the penalties are affirmed or set aside (see sections J & K). Ranking penalties are automatic and cannot be appealed.

**NOTE<sup>1</sup>:** If there were no 1000 results, the suspension would be from the next Masters 1000 event he is accepted as a Direct Acceptance.

**NOTE<sup>2</sup>:** Players with grandfathered earned complete commitment reduction from all ATP Tour Masters 1000 events, who withdrew from that event for any reason the previous year, do not need to submit an appeal to avoid the suspension penalty.

### E. Retirement or Withdrawal Penalty (On-Site)

- 1) A player who, because of injury or illness, retires during a match or withdraws during the tournament week must submit to an on-site medical examination by the designated tournament Doctor. Any player who retires during a match must be examined by the tournament Doctor prior to the end of play on the day of the retirement. Failure to submit to such examination shall be a violation of this section and shall subject a player to a fine at ATP Tour tournaments of \$10,000 (\$2,500 for the qualifying competition) or at ATP Challenger Tour tournaments to a fine of \$1,000, (\$500 for the qualifying competition), or the amount of prize money won at the tournament, whichever is greater.
- 2) Following any on-site retirement or withdrawal, the Supervisor at the next tournament in which the player wants to play, may require the player to submit to an on-site examination by the designated tournament Doctor and receive authorization from the Supervisor before competing in any future ATP Tour and ATP Challenger Tour tournaments. The Supervisor’s authorization shall be based on the following: the results of the on-site medical examination; a review of such results with one of ATP’s medical services directors, if possible; and any other appropriate information.

#### **No Medical? Big Mistake**

**Case:** *A player retires from his singles match and leaves the tournament site without having been examined by the tournament Doctor. Later, it is discovered that the player has left the tournament city. What action does the Supervisor take?*

**Decision:** *Any player who fails to submit to an on-site examination by the tournament Doctor after retiring from a match shall be subjected to a fine of \$10,000 (\$1,000 for ATP Challenger Tour tournaments) or the amount of prize money won at the tournament, whichever is greater.*

## VIII. THE CODE

### F. Special Exempt/Wild Card Non-Appearance

A player who accepts a wild card or a special exempt pursuant to the procedures set forth under section 7.10, special exempts, shall appear for play. A violation of this section would be considered as a late withdrawal and be subject to the penalties set forth under late withdrawals.

### G. Payment of Fines

The player shall pay all fines to ATP within ten (10) days after the notice of fine is provided to the player. All collected entry/withdrawal fine amounts, with the exception of Challenger fines, shall be returned to the event from which the fine was incurred.

### H. Playing Another event

- 1) No player who has entered and been accepted into the main draw or qualifying of an ATP Tour or ATP Challenger Tour tournament shall play in any other tennis event during the period of such tournament, except if appropriately released by ATP. Once a player enters and is accepted into the main draw or qualifying of the singles or doubles competition, he is committed to that tournament for the week, unless released by the Senior Vice President - Rules & Competition or Supervisor. A violation of this section shall constitute the Major Offense of Aggravated Behavior.
- 2) A player who has entered and been accepted into the main draw of an ATP Tour or ATP Challenger Tour tournament shall be permitted to sign-in and compete in the doubles event of the same tournament if his withdrawal was for medical reasons and he is determined by the Supervisor, upon written medical advice, to be physically capable to compete on a professional level of play.
- 3) A player may receive permission from a Tournament Director of an ATP Tour 250 tournament to compete in a special event on the Monday of that tournament.

### I. Repeal of Withdrawal Fines and/or Penalties

#### 1) ATP Tour 250

##### a) Consecutive Withdrawals

- i) Players with multiple consecutive withdrawals\* who are out of competition for thirty (30) days or more due to injury will not be subject to a fine as long as verified and approved medical forms are provided.

**Note:** The count shall begin on the withdrawal deadline date; the date of the retirement; the date of the late withdrawal; or the date of the on-site withdrawal, whichever was chronologically first.

- ii) A player must not compete in any other tennis event during those periods.

\*Each consecutive withdrawal must be prior to 10 AM on Friday, before the tournament.

##### b) On-Site Medical Examination.

Players who withdraw after 10 AM Eastern Time, USA on Friday (or in the case of doubles, after the entry deadline) before a tournament shall not have the late withdrawal fine assessed if determined to be unfit to play that week if:

- i) The player who is still competing in a tournament or Davis Cup\* after the Friday 10 AM deadline is forced to withdraw/retire and is examined by

## VIII. THE CODE

that event's Doctor and determined to be unfit for the following week's tournament; or

- ii) The player was on-site at the event when the withdrawal occurred and is determined to be unfit for play by that tournament's Doctor. Players who are examined by the on-site tournament Doctor shall receive tournament provided hotel rooms through the night of the examination.

\* A withdrawal from Davis Cup must be from a "live" match, for medical reasons.

### c) Promotional Activities.

A player who was not on-site when the withdrawal/late withdrawal occurred but travels to the tournament within the first three (3) days of the main draw, unless otherwise determined by ATP, and participates in a reasonable amount of promotional activities over a two (2) day period, as determined by ATP, shall not have the applicable fines assessed. Players who travel to the event to complete their promotional activity requirement shall receive full hospitality from the day of arrival through the night following the completion of their promotional obligation.

### d) Appeal.

The player may appeal the fine to the SVP - Rules and Competition as specified below under "Review of Penalties for Entry and Commitment Offenses".

## 2) ATP Tour 500

### a) Consecutive Withdrawals

- i) Players with multiple consecutive withdrawals\* who are out of competition for thirty (30) days or more due to injury will not be subject to a late withdrawal fine or a ranking penalty as long as verified and approved medical forms are provided.

**Note:** The count shall begin on the withdrawal deadline date; the date of the retirement; the date of the late withdrawal; or the date of the on-site withdrawal, whichever was chronologically first.

- ii) A player must not compete in any other tennis event during those periods. \*Each consecutive withdrawal must be prior to 10 AM on Friday, before the tournament.

### b) On-Site Medical Examination.

Players who withdraw after 10 AM Eastern Time, USA on Friday (or in the case of doubles, after the on-site entry deadline) before a tournament shall not have the late withdrawal fine or ranking penalty assessed if determined to be unfit to play that week if:

- i) The player who is still competing in a tournament or Davis Cup\* after the Friday 10 AM deadline is forced to withdraw/retire and is examined by that event's Doctor and determined to be unfit for the following week's tournament; or
- ii) The player was on-site at the event when the withdrawal occurred and is determined to be unfit for play by that tournament's Doctor. Players who are examined by the on-site tournament Doctor shall receive tournament provided hotel rooms through the night of the examination.

\* A withdrawal from Davis Cup must be from a "live" match, for medical reasons.

### c) Promotional Activities.

A player who was not on-site when the withdrawal/late withdrawal occurred but travels to the tournament within the first three (3) days of the main draw, unless otherwise determined by ATP, and participates in a reasonable amount

## VIII. THE CODE

of promotional activities over a two (2) day period, as determined by ATP, shall not have the applicable fine and ranking penalties assessed. Players who travel to the event to complete their promotional activity requirement shall receive full hospitality from the day of arrival through the night following the completion of their promotional obligation.

**d) Appeal.**

The player may appeal the fine and ranking penalty to the Appeals Tribunal as specified below under "Review of Penalties for Entry and Commitment Offenses". See also ATP Tour 500 - Ranking Penalty, page 250.

- e) Replacement Event.** A commitment player who has received a zero (0) point ranking penalty for withdrawing from an ATP Tour 500 event may replace the zero (0) point by playing an additional ATP Tour 500 event in that same calendar year for a total of four (4) played. The replacement tournament must be after the 500 withdrawal that has resulted in a ranking penalty. Only one (1) additional ATP Tour 500 event per year may be used to replace an ATP Tour 500 ranking penalty. See also ATP Tour 500 - Ranking Penalty, page 250.

**3) ATP Tour Masters 1000**

**a) On-Site Medical Examination.**

Players who withdraw after 10 AM Eastern Time, USA on Friday (or in the case of doubles, after the entry deadline) before a tournament shall not have the late withdrawal fine and the suspension assessed if determined to be unfit to play that week if:

- i)** The player who is still competing in a tournament or Davis Cup\* after the Friday 10 AM deadline is forced to withdraw/retire and is examined by that event's Doctor and determined to be unfit for the following week's tournament; or
- ii)** The player was on-site at the event when the withdrawal occurred and is determined to be unfit for play by that tournament's Doctor. Players who are examined by the on-site tournament Doctor shall receive tournament provided hotel rooms through the night of the examination.

\* A withdrawal from Davis Cup must be from a "live" match, for medical reasons.

**b) Promotional Activities.**

A player who was not on-site when the withdrawal/late withdrawal occurred but travels to the tournament within the first three (3) days of the main draw, unless otherwise determined by ATP, and participates in a reasonable amount of promotional activities over a two (2) day period, as determined by ATP, shall: (i) not have the applicable fine assessed, (ii) may recoup potential bonus pool money under Section 1.07.H.2 and (iii) shall not have the suspension penalties assessed. Players who travel to the event to complete their promotional activity requirement shall receive full hospitality from the day of arrival through the night following the completion of their promotional obligation.

**c) Appeal.**

The player may appeal the fine and suspension to the Appeals Tribunal as specified below under "Review of Penalties for Entry and Commitment Offenses".

**d) Exceptions.**

The player will not have the suspension assessed if he has grandfathered earned commitment reductions and withdraws prior to the withdrawal deadline and he did not withdraw in any manner from the same event the previous

## VIII. THE CODE

year. For the avoidance of doubt, the ranking penalty is automatic and unappealable regardless of any grandfathered ATP Masters 1000 exemptions.

### 4) ATP Challenger Tour/ATP Qualifying/ATP Challenger Tour Qualifying

#### a) Consecutive Withdrawals

- i) Players with multiple consecutive withdrawals\* who are out of competition for 30 days or more due to injury will not be subject to a fine as long as verified and approved medical forms are provided.
- ii) A player must not compete in any other tennis event during those periods.  
**Note:** The count shall begin on the withdrawal deadline date; the date of the retirement; the date of the late withdrawal; or the date of the on-site withdrawal, whichever was chronologically first.

\*Each consecutive withdrawal must be prior to 10 AM on Friday, before the tournament.

#### b) On-Site Medical Examination.

Players who withdraw after 10 AM Eastern Time, USA on Friday (or in the case of doubles, after the entry deadline) before a tournament shall not have the late withdrawal fine assessed if determined to be unfit to play that week if:

- i) The player who is still competing in a tournament or Davis Cup\* after the Friday 10 AM deadline is forced to withdraw/retire and is examined by that event's Doctor and determined to be unfit for the following week's tournament; or
- ii) The player is examined on-site, at the event from which he withdrew, and determined to be unfit for play by that tournament's Doctor during qualifying or within the first three (3) days of the main draw for Challengers/ATP Tour Qualifying. Players who are examined by the on-site tournament Doctor shall receive tournament provided hotel rooms through the night of the examination.

\* A withdrawal from Davis Cup must be from a "live" match, for medical reasons.

#### c) Appeal.

The player may appeal the fine to the SVP - Rules and Competition as specified below under "Review of Penalties for Entry and Commitment Offenses".

##### **No Penalty After Retirement**

**Case:** *A player is injured at an ATP Tour tournament and is forced to retire from his match. He is also unable to compete in the next week's tournament. The injury occurred after 10 AM, Friday, Eastern Time, USA. Must the player travel to the next tournament to be examined by that tournament's Doctor to avoid the appropriate penalties?*

**Decision:** *No. If the player is forced to retire after 10 AM, Friday, Eastern Time, USA, he may be examined by that tournament's Doctor.*

### J. Review of Penalties for Entry and Commitment Offenses

Any player found to have committed a United Cup, ATP Tour Masters 1000 or ATP Tour 500 entry or commitment offense may petition the Appeal Tribunal for discretionary review. ATP Tour 250, ATP Tour Qualifying and ATP Challenger Tour appeals shall be submitted to and determined by the ATP Senior Vice President – Rules and Competition. This written petition shall detail the basis for the appeal.

## VIII. THE CODE

- 1) **Deadline.** The deadline for filing an appeal is as follows:

**ATP Tour Masters 1000** - 6:00 PM Eastern USA on the Tuesday of the event week; except that:

96-draw tournaments shall be the first Thursday of the tournament week. If the Tribunal requests additional information, the player will have 24 hours from receipt of this notice to submit the requested information.

**ATP Tour 500** - 10 days from the Monday of the event week.

If the Tribunal requests additional information, the player will have 48 hours from receipt of this notice to submit the requested information.

**ATP Tour 250/ATP Tour Qualifying/ATP Challenger Tour** - 10 days from the Monday of the event week.

If the SVP - Rules and Competition requests additional information, the player will have 48 hours from receipt of this notice to submit the requested information.

Appeals must be submitted in writing to the Appeals Tribunal/SVP - Rules and Competition and received prior to the deadline. Send electronically via email or fax to:

Miro Bratoev  
Senior Vice President - Rules & Competition  
201 ATP Tour Boulevard  
Ponte Vedra Beach, Florida 32082 USA  
Email: miro.bratoev@atptour.com

- 2) **Determination.** The Tribunal President/SVP - Rules and Competition shall review the petition and make a determination within the following time period:

**ATP Tour Masters 1000** - 10 AM Eastern USA on the Friday\* of the event week.

\*For 96-draw tournaments, the determination shall be made by 10 AM Eastern USA on the 2nd Friday of the event.

**ATP Tour 500** - 20 days from the Monday of the event week.

**ATP Tour 250/ATP Tour Qualifying/ATP Challenger Tour** - 20 days from the Monday of the event week.

- 3) **Scope of determination.** The Tribunal's/SVP - Rules and Competition decision on each case is limited to the following:

**United Cup**

Late Withdrawal Fine, if applicable

**ATP Tour Masters 1000**

Suspension

Late Withdrawal Fine, if applicable.

**ATP Tour 500**

Ranking penalty

Late Withdrawal Fine, if applicable.

**ATP Tour 250/ATP Tour Qualifying/ATP Challenger Tour**

Withdrawal Fine

Late Withdrawal Fine

### K. Tribunal.

The ATP Board of Directors and ATP CEO shall nominate designees for the appeals tribunal as follows:

## VIII. THE CODE

- 1) The three members of the board representing the players shall nominate a designee to serve a one (1) year term on the tribunal.
- 2) The three members of the board representing the tournaments shall nominate a designee to serve a one (1) year term on the tribunal.
- 3) The ATP CEO shall nominate a designee to serve a one (1) year term on the tribunal.
- 4) **Medical Advisor.**
  - a) A person nominated by the medical services committee shall be present, if requested by the three (3) voting members of the Tribunal, at all tribunal meetings to offer advice and expert opinion on medical matters presented to the Tribunal.
  - b) The advisor has no vote in any appeal decisions.

### L. On-Site Offenses/Procedures

The on-site offense provisions shall apply to every player during his participation in an ATP Tour or ATP Challenger Tour tournament. On-site includes tournament hotels, transportation, all tournament facilities and activities.

#### 1) Dress and Equipment

Every player shall dress and present himself for play in a professional manner. Clean and customarily acceptable tennis attire as approved by ATP shall be worn. A player who violates this section may be ordered by the Chair Umpire or Supervisor to change his attire or equipment immediately. Failure of a player to comply with such order may result in an immediate default.

##### a) Identification/Visible

No visible identification shall be permitted on a player, his clothing, products or equipment on court during a match or at any press conference or tournament ceremony, except as follows:

##### i) ATP Definitions.

1. **Clothing Designs.** Clothing designs will not be interpreted as manufacturer's logos and such logos can be incorporated into the clothing design, provided they conform to the size and placement restrictions.
2. **Commercial Identification.** Corporate or product identification other than the manufacturer of the item, including social media usernames, hashtags, and URLs.
3. **Tennis Equipment Manufacturer.** The tennis equipment manufacturer is the entity that distributes, or offers for sale, tennis racquets, clothing, strings or shoes.
4. **Clothing Manufacturer.** Clothing manufacturer is the corporate or product identification, trademarks (regardless of registration status) or other recognizable names presented in the form of a logo or mark on the clothing product in question.
5. **Size Limitation.**
  - If a patch, the size is determined by the area of the actual patch. If a solid color patch is the same color as the clothing, then the size of the actual patch will be determined by the size of the logo identification, as described below.



## VIII. THE CODE

- If not a patch, the area of a logo or mark shall be determined by the circumference of a circle or the perimeter of a triangle or rectangle drawn around the logo or mark.
- ii) **Logo Usage.** All logos or patches must be firmly attached at all points on the clothing or equipment. All commercial ID logos placed on the shirt front or collar must be embroidered or screen printed. All manufacturer logos must be embroidered, screen printed or otherwise professionally attached at all points.
  1. **Bags, Towels or Other Items.** Standard logos of tennis equipment manufacturers on each item plus two (2) separate commercial identifications on one (1) bag, neither of which may exceed six (6) square inches (39 sq. cm.).
  2. **Drink Containers.** Players are permitted to use drink containers on-court if they are of reasonable size and they contain no logo or writing of the drink manufacturer. ATP has designated three (3) beverage categories of drinks for purposes of this rule: bottled water, electrolyte or other drinks (soft drinks, energy drinks and any other drink with the exception of alcoholic drinks and tea / coffee). The Supervisor may approve for use on-court a reasonably sized drink container that has a logo or writing, not to exceed four (4) square inches (26 sq. cm.) if:
    - The advertised on-court drink sponsor is the same as the player's drink container manufacturer, or;
    - The advertised on-court drink sponsor(s) is not in the same beverage category as the player's drink container.
  3. **Hat or Headband.** One (1) standard logo of a clothing manufacturer or a tennis equipment manufacturer and/or one (1) commercial identification, both of which may contain writing. Neither shall exceed four (4) square inches (26 sq. cm.).

**Restrictions:**

    - The commercial logo must be located on the side of the hat / headband and worn so that it is positioned on the side of the head;
    - No hat or headband, with or without logos, may be worn during the awards ceremony;
    - Once a player has competed in the first match of his first event with a commercial brand logo on his hat/headband then he may not change commercial brands during that calendar year, unless approved by ATP.

**Note:** Players shall include a clause in their contracts permitting them to opt out at the end of any year in the event ATP rules change to prohibit a commercial brand logo on the hat or headband in the manner described above.
  4. **Wristband.** One (1) standard logo of a clothing manufacturer or tennis equipment manufacturer, which may contain writing, not to exceed four (4) square inches (26 sq. cm.)
  5. **Racquet.** Standard logos of the manufacturer shall be permitted on racquets and strings.
  6. **Shirt, Sweater or Jacket.**
    - **Front, Back and Collar.** Two (2) standard logo positions of the clothing manufacturer or commercial ID, neither of which exceeds six (6) square inches (39 sq. cm.), may be placed in any location (i.e. 2 on the front, or 1 on the front and 1 on the collar)

## VIII. THE CODE

or one (1) logo of the clothing manufacturer or commercial ID which may not exceed six (6) square inches (39 sq. cm.), may be placed on the front or collar and then an additional clothing manufacturer logo, not to exceed four (4) square inches (26 sq. cm.), may be placed on the back. Logos may contain writing. Once a player has competed in the first match of his first event with a commercial brand logo on the front, collar or headgear, he may not change brands during that calendar year, unless approved by ATP. No new commercial logo may be added to the shirt front for events following the US Open through the Nitto ATP Finals.

**Note:** Players shall include a clause in their contracts permitting them to opt out at the end of any year in the event ATP rules change to prohibit a commercial brand logo on the front of a shirt, sweater or jacket in the manner described above.

**ATP Premier / Platinum Sponsor Patch.** An additional commercial identification patch may be placed on the back of the shirt, below the collar, if part of the ATP Premier / Platinum sponsor patch program. This program is optional and is in addition to any manufacturer identification on the back of the shirt.

- **Sleeves.** Two positions for commercial (i.e., non-clothing manufacturer) or manufacturer's identification for each sleeve, neither of which exceeds six (6) square inches (39 sq. cm). A maximum of two (2) logos may be placed within each 6 square inch (39 sq. cm.) position. Logos may contain writing.
- **Sleeveless.** Two (2) logo positions of the clothing manufacturer or commercial ID none of which exceeds six (6) square inches (39 sq. cm.) may be placed on the front of the shirt. If no more than one (1) logo is placed on the front or collar of the shirt, then one (1) manufacturer logo may be placed on the back of the shirt, not to exceed four (4) square inches (26 sq. cm).
- **Other.** A logo of the clothing manufacturer, without the name of the manufacturer or any other writing, may be placed once or repeatedly within an area not to exceed twelve (12) square inches (77.5 sq. cm.) in one of the following positions:
  - a. On each of the shirt sleeves, or
  - b. On the outer seams (sides of torso) of the shirt.

### 7. Shorts.

- **Front and Back.** Two (2) standard logos of the clothing manufacturer neither of which exceeds two (2) square inches (13 sq. cm.), may be placed on the front or back of the shorts; or two (2) standard logos of the clothing manufacturer neither of which exceeds four (4) square inches (26 sq. cm), may be placed as follows: one (1) logo on the front and one (1) logo on the back of the shorts. Logos may contain writing.
- Compression shorts and/or compression sleeves may contain two (2) standard logos of the clothing manufacturer which must not exceed two (2) square inches (13 sq. cm.) or one (1) standard logo of the clothing manufacturer which must not exceed four (4) square inches (26 sq. cm.).

8. **Socks /Shoes.** Standard logos of the manufacturer of the article may appear on each sock and each shoe.

## VIII. THE CODE

### *Tattoo as Logo*

**Case:** *A player arrives on court wearing an approved sleeveless shirt. The Chair Umpire notices that the player has a tattoo of the clothing manufacturer on his upper arm. If there is no issue with the size, is this allowed?*

**Decision:** *No. The rules for both clothing manufacturer and commercial I.D. logo placement clearly specify where these logos may be placed.*

- iii) **Restrictions /Government.** Any commercial or other identification that violates applicable governmental and/or television regulations is prohibited.
  - iv) **Restrictions/Other Tennis event.** The identification by use of the name, emblem, logo, trademark, symbol or other description of any tennis circuit, series of tennis events, tennis exhibition or tournament other than the "ATP" is prohibited on all dress or equipment at any ATP Tour and ATP Challenger Tour tournaments, unless otherwise approved by ATP.
  - v) **Restrictions /Timing.** Once a player has competed in the first match of his first event with a commercial brand logo in either of the two locations (shirt front and/or hat/headband), he may not change brands during that calendar year, unless approved by ATP.

**Note:** Players shall include a clause in their contracts permitting them to opt out at the end of any year in the event ATP rules change to prohibit a commercial brand logo on the front of a shirt, sweater or jacket in the manner described above.
  - vi) **Restrictions / General.** Tobacco and companies associated with tennis gambling will be prohibited from any endorsements on player clothing. ATP reserves the right to prohibit any identification it deems not to be in the best interest of the game and/or ATP.
- b) **Shoes**
- i) **General.** Players are required to wear tennis shoes generally accepted as proper tennis attire. Shoes shall not cause damage to the court other than what is expected during the normal course of a match or practice. Damage to a court may be considered as physical or visible, which may include a shoe that leaves marks beyond what is considered acceptable. The Supervisor has the authority to determine that a shoe does not meet the criteria of "customarily acceptable" and may order the player to change.
  - ii) **Clay Courts.** Players are required to wear tennis shoes generally accepted for play on clay courts or granular surfaces. The Supervisor has the authority to determine that a tennis shoe's sole does not conform and can prohibit its use at any ATP Tour or ATP Challenger Tour tournament. Grass court shoes should not be worn during a match on clay courts.
  - iii) **Grass Courts.** In ATP Tour and ATP Challenger Tour tournaments played on grass courts, no shoes other than those with rubber soles, without heels, ribs, studs or covering, shall be worn by players.
    - aa) Special grass court shoes shall not be used without the express approval of ATP, based on the following specifications:
      - 1) The pimples or studs on the base of the sole should be vertical from the outsole and shall have a maximum top diameter of three (3) millimeters and a minimum top diameter of two (2) millimeters. The maximum height of the pimples or studs shall

## VIII. THE CODE

be two (2) millimeters, from the base of the shoe. The hardness of any pimple or studs shall be between 55 and 60 based on a Shore "A" scale. The number of pimples per square inch shall be no less than 15 and no more than 28.

2) Shoes with pimples or studs around the outside of the toes shall not be permitted. The foxing / sidewall can be contoured only in the medial forefoot and medial toe area but only within the following restrictions. The contoured area may begin in the transition area between outsole and sidewall but can only go to a maximum of 1.5 cm up the sidewall. This contoured area must be flat (not textured or undulating) but can be stepped with no more than 5 steps each no more than 1 mm in depth.

3) Forefoot and heel areas may be separated but there should be no more than a 2 mm step in the outsole of the shoe.

- Approval of special grass court shoes should be received by ATP at least ninety (90) days in advance of the grass court tournament.

**All shoes approved for play in 2008 shall continue to be approved.**

### c) Violations/Fines

Any player who violates this section and is not defaulted shall be subject to the following fines:

**i) Commercial Identification.** Violation of the provisions with respect to commercial identifications shall result in a fine up to:

- \$2,000 for ATP Challenger Tour tournaments.
- \$30,000 for ATP Tour 250 tournaments.
- \$40,000 for ATP Tour 500 tournaments.
- \$60,000 for ATP Tour Masters 1000 tournaments.

The maximum fine will increase by one hundred percent (100%) for each consecutive violation during the same calendar year.

**ii) Tennis Equipment Manufacturer's logo.** Violation of the provisions with respect to standard logos of manufacturers shall result in a fine up to:

- \$500 for ATP Challenger Tour tournaments.
- \$30,000 for ATP Tour 250 tournaments.
- \$40,000 for ATP Tour 500 tournaments.
- \$60,000 for ATP Tour Masters 1000 tournaments.

The maximum fine will increase by one hundred percent (100%) for each consecutive violation during the same calendar year.

**iii) Other Tennis event.** Violation of the provisions with respect to the name of an event other than the "ATP" shall result in a fine up to:

- \$5,000 for ATP Challenger Tour tournaments.
- \$30,000 for ATP Tour 250 tournaments.
- \$40,000 for ATP Tour 500 tournaments.
- \$60,000 for ATP Tour Masters 1000 tournaments.

The maximum fine will increase by one hundred percent (100%) for each consecutive violation during the same calendar year.

**iv) Unacceptable Attire.** Violation of the provisions with respect to unacceptable attire shall result in a fine up to:

- \$1,000 for ATP Challenger Tour tournaments
- \$30,000 for ATP Tour 250 tournaments.
- \$40,000 for ATP Tour 500 tournaments.
- \$60,000 for ATP Tour Masters 1000 tournaments.

## VIII. THE CODE

The maximum fine will increase by one hundred percent (100%) for each consecutive violation during the same calendar year.

### 2) Point Penalty Schedule

- a) The Point Penalty Schedule to be used for Code Violations is as follows:
- |                                   |               |
|-----------------------------------|---------------|
| FIRST OFFENSE                     | WARNING       |
| SECOND OFFENSE                    | POINT PENALTY |
| THIRD AND EACH SUBSEQUENT OFFENSE | GAME PENALTY  |
- However, after the third Code Violation, the Supervisor shall determine whether each subsequent offense shall constitute a default.
- b) In ATP Tour and ATP Challenger Tour tournaments and events, Code Violations shall be levied by the Chair Umpire for on-court offenses. In the event that the Chair Umpire fails to levy a code violation, then the Supervisor may order him to do so.
- c) In doubles, code violations shall be assessed against the team.

### 3) Code Violations Not Witnessed By Chair Umpire

Occasionally, there are code violations by players that are not witnessed by the Chair Umpire. The Line Umpire should immediately approach the Chair Umpire and report the facts of the violation, during which time the Chair Umpire should turn off all microphones in the area of the chair. The Chair Umpire may ask the player to respond to such report; thereafter, the Chair Umpire must make a decision and he either dismisses the report or declares a code violation and assesses a penalty. If a code violation is assessed, then the Chair Umpire must announce such violation to the player, opponent and spectators. If in his opinion there was a code violation, but because of the time of discovery (another point has been played), it would be inappropriate to issue a Code Violation Warning, Point or Game Penalty, then he/she must notify the player that he/she will refer the matter to the Supervisor for action after the match. If a serious violation that may warrant an Immediate Default has been reported and acted upon no later than the end of the next changeover, the ATP Supervisor may be called to discuss an Immediate Default. The Supervisor may order the Chair Umpire to issue a code violation for a violation witnessed or not witnessed by the Chair Umpire.

**Case 1:** *A Line Umpire reports to the Chair Umpire an incident which occurred three (3) points earlier, may the Chair Umpire issue a Warning, Point or Game Penalty?*

**Decision 1:** *No, in this case a Code Violation may be issued only if the violation was reported immediately (before the next point is played).*

**Case 2:** *Same situation as Case 1 above except that the violation reported is a serious violation that may warrant an Immediate Default. May the Supervisor/Referee be called to discuss an Immediate Default?*

**Decision 2:** *Yes, as long as the violation has been reported and acted upon no later than the end of the next changeover. Once the first point of the game immediately following the changeover has been played then no Code Violation for an Immediate Default may be issued. The player may however be subject to a fine as determined by the Supervisor.*

## VIII. THE CODE

### 4) Offenses

#### a) Ball Abuse

- i) Players shall not violently, dangerously or with anger hit, kick or throw a tennis ball while on the grounds of the tournament site except in the reasonable pursuit of a point during a match (including warm-up). For purposes of this rule, abuse of balls is defined as intentionally or recklessly hitting a ball out of the enclosure of the court, hitting a ball dangerously or recklessly within the court or hitting a ball with disregard of the consequences.
- ii) Violation of this section shall subject a player to a fine of up to \$350 for each violation. In addition, if such violation occurs during a match, the player shall be penalized in accordance with the Point Penalty Schedule.

#### b) Racquet or Equipment Abuse

- i) Players shall not violently, dangerously or with anger hit, kick or throw a racquet or other equipment within the precincts of the tournament site. For purposes of this rule, abuse of racquets or equipment is defined as intentionally, dangerously and violently destroying or damaging racquets or equipment or intentionally and violently hitting the net, court, umpire's chair or other fixture during a match out of anger or frustration.
- ii) Violation of this section shall subject a player to a fine up to \$500 for each violation. In addition, if such violation occurs during a match, the player shall be penalized in accordance with the Point Penalty Schedule.

#### c) Physical Abuse

- i) Players shall not at any time physically abuse any official, opponent, spectator or other person within the precincts of the tournament site. For purposes of this rule, physical abuse is the unauthorized touching of an official, opponent, and spectator or other person.
- ii) Violation of this section shall subject a player to a fine up to \$20,000 for ATP Challenger Tour tournaments, \$30,000 for ATP Tour 250 tournaments, \$40,000 for ATP Tour 500 tournaments, \$60,000 for ATP Tour Masters 1000 tournaments for each violation. The maximum fine will increase by one hundred percent (100%) for each consecutive violation during the same calendar year. In addition, if such violation occurs during a match, the player shall be penalized in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, the ATP Supervisor may refer the matter to the ATP Members Fines Committee who shall conduct an investigation to determine whether the player Major Offense of Aggravated Behavior or Conduct Contrary to the Integrity of the Game has occurred. Prize money earned at that event shall be held by ATP until the ATP Members Fines Committee has concluded their investigation and made a determination.

#### d) Verbal Abuse

- i) Players shall not at any time directly or indirectly verbally abuse an official, opponent, sponsor, spectator or any other person within the precincts of the tournament site. Verbal abuse is defined as any statement about an official, opponent, sponsor, spectator or any other person that implies dishonesty or is derogatory, insulting or otherwise abusive.
- ii) Violation of this section shall subject a player to a fine up to \$20,000 for ATP Challenger Tour tournaments, \$30,000 for ATP Tour 250 tournaments, \$40,000 for ATP Tour 500 tournaments, \$60,000 for ATP Tour Masters 1000 tournaments for each violation. The maximum fine will in-

## VIII. THE CODE

crease by one hundred percent (100%) for each consecutive violation during the same calendar year. In addition, if such violation occurs during a match, the player shall be penalized in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, the ATP Supervisor may refer the matter to the ATP Members Fines Committee who shall conduct an investigation to determine whether the player Major Offense of Aggravated Behavior or Conduct Contrary to the Integrity of the Game has occurred. Prize money earned at that event shall be held by ATP until the ATP Members Fines Committee has concluded their investigation and made a determination.

### e) Audible Obscenity

- i) A player shall not use an audible obscenity while on-site. Audible obscenity is defined as the use of words commonly known and understood to be profane and uttered clearly and loudly enough to be heard.
- ii) Violation of this section shall subject a player to a fine up to \$5,000 for each violation. In addition, if such violation occurs during a match, the player shall be penalized in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this section shall also constitute the player Major Offense of Aggravated Behavior.

### f) Visible Obscenity

- i) Players shall not make obscene gestures of any kind while on-site. Visible obscenity is defined as the making of signs by a player with hands and/or racquet or balls that commonly have an obscene meaning.
- ii) Violation of this section shall subject a player to a fine up to \$5,000 for each violation. In addition, if such violation occurs during a match, the player shall be penalized in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this section shall also constitute the player Major Offense of Aggravated Behavior.

### g) Unsportsmanlike Conduct

- i) Players shall at all times conduct themselves in a sportsmanlike manner and give due regard to the authority of officials and the rights of opponents, spectators and others. Unsportsmanlike conduct is defined as any misconduct by a player that is clearly abusive or detrimental to the success of a tournament, ATP and/or the Sport. In addition, unsportsmanlike conduct shall include, but not be limited to, the giving, making, issuing, authorizing or endorsing any public statement having, or designed to have, an effect prejudicial or detrimental to the best interest of the tournament and/or the officiating thereof.
- ii) Players and their support team members accredited at any event must comply with the physical distancing and COVID-19 precautionary measures applicable for each event. Any repetitive or blatant breach of those measures may be considered a violation of the code of conduct under this Section or the Major Offense of Conduct Contrary to the Integrity of the Game depending on the severity of the violation.
- iii) Violation of this section shall subject a player to a fine up to \$20,000 for ATP Challenger Tour tournaments, \$30,000 for ATP Tour 250 tournaments, \$40,000 for ATP Tour 500 tournaments, \$60,000 for ATP Tour

## VIII. THE CODE

Masters 1000 tournaments for each violation. The maximum fine will increase by one hundred percent (100%) for each consecutive violation during the same calendar year. In addition, if such violation occurs during a match, the player shall be penalized in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, the ATP Supervisor may refer the matter to the ATP Members Fines Committee who shall conduct an investigation to determine whether the player Major Offense of Aggravated Behavior or Conduct Contrary to the Integrity of the Game has occurred. Prize money earned at that event shall be held by ATP until the ATP Members Fines Committee has concluded their investigation and made a determination.

### h) **Best Efforts**

i) A player shall use his best efforts during the match when competing in a tournament. Violation of this section shall subject a player to a fine up to \$20,000 for ATP Challenger Tour tournaments, \$30,000 for ATP Tour 250 tournaments, \$40,000 for ATP Tour 500 tournaments, \$60,000 for ATP Tour Masters 1000 tournaments for each violation. The maximum fine will increase by one hundred percent (100%) for each consecutive violation during the same calendar year.

ii) For purposes of this rule, the Supervisor and/or the Chair Umpire shall have the authority to penalize a player in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, the ATP Supervisor may refer the matter to the ATP Members Fines Committee who shall conduct an investigation to determine whether the player Major Offense of Aggravated Behavior or Conduct Contrary to the Integrity of the Game has occurred. Prize money earned at that event shall be held by ATP until the ATP Members Fines Committee has concluded their investigation and made a determination.

### i) **Leaving the Court**

i) A player shall not leave the court area during a match (including the warm-up) without the permission of the Chair Umpire or Supervisor.

ii) Violation of this section shall subject a player to a fine up to \$3,000 for ATP Challenger Tour tournaments, \$30,000 for ATP Tour 250 tournaments, \$40,000 for ATP Tour 500 tournaments, \$60,000 for ATP Tour Masters 1000 tournaments for each violation. The maximum fine will increase by one hundred percent (100%) for each consecutive violation during the same calendar year. In addition, the player may be defaulted and shall be subject to the additional penalties for failure to complete match.

### j) **Failure to Complete Match**

i) A player must complete a match in progress unless he is reasonably unable to do so.

ii) Violation of this section shall subject a player to a fine up to \$5,000 for ATP Challenger Tour tournaments, \$30,000 for ATP Tour 250 tournaments, \$40,000 for ATP Tour 500 tournaments, \$60,000 for ATP Tour Masters 1000 tournaments. The maximum fine will increase by one hundred percent (100%) for each consecutive violation during the same calendar year. Violation of this section shall subject a player to immediate default and shall also constitute the Major Offense of Aggravated Behavior.



## VIII. THE CODE

### k) Ceremonies

i) All tournament finalists must attend and participate in the post-match ceremonies, unless he is physically unable to do so as determined by the tournament Doctor. This includes retirements and finals not played due to a walkover.

ii) Violation of this section shall subject a player to a fine up to \$5,000.

### l) Coaching and Coaches

i) Players shall not receive coaching during a tournament match\*. Communications of any kind, audible or visible, between a player and a coach may be construed as coaching. Coaches on-site are prohibited from:

**aa)** Using an audible obscenity or making obscene gestures of any kind.

**bb)** Abusing any official, opponent, spectator or other person, verbally or physically.

**cc)** Engaging in conduct contrary to the integrity of the game of tennis. Conduct contrary to the integrity of the game shall include, but not be limited to, comments to the news media that unreasonably attack or disparage a tournament, sponsor, player, official or ATP. Responsible expressions of legitimate disagreement with ATP policies are not prohibited. However, public comments that one of the stated persons above knows, or should reasonably know, will harm the reputation or financial best interest of a tournament, player, sponsor, official or ATP are expressly covered by this section.

ii) Violation of this section shall subject a player to a fine up to \$5,000 for each violation. In addition, if such violation occurs during a match, the player shall be penalized in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, the Supervisor shall have the authority to relocate the position of a coach if there is reasonable belief that coaching is occurring or the Supervisor may order the coach to be removed from the match site or tournament site and upon his failure to comply with such order, may declare an immediate default of such player.

\*Coaching is allowed at ATP Tour and Challenger Tour tournaments through the end of 2024 on a trial basis with the following conditions:

- The coach must sit in the tournament's designated coaches' seats
- Verbal coaching is permitted only when the player is at the same end of the court
- Verbal coaching may consist of a few words and/or short phrases (no conversations are permitted)
- Non-verbal coaching (hand signals) is permitted
- Coaching (verbal and non-verbal) is allowed only if it does not interrupt play or create any hindrance to the opponent
- Players may approach their coach or engage in conversation with their coach during an opposing player's Medical Timeout or a Toilet Break/ Change of Attire Break or another break approved by the Chair Umpire during which the players remain on court

## VIII. THE CODE

- Coaches may not speak to their player, when the player leaves the court for any reason
- Penalties and fines to apply for abuse or misuse of the coaching conditions

### **Electronic devices**

**Case:** *May a player listen to an mp3 player or other device on a changeover?*

**Decision:** *A player is not allowed to use any electronic devices (e.g. CD players, mobile phones, etc.) during matches, unless approved by the Supervisor. (Tour Policy)*

### **m) Default**

**i) During the match.** The Supervisor may default a player either for a single violation of the Code (immediate default) or as outlined in the Point Penalty Schedule.

**ii) On-site.** The Supervisor may withdraw a player from all events for a single violation of the Code occurring during the event but not during a player's match.

**iii)** In all cases of default, the Supervisor's decision shall be final and may not be appealed.

#### **iv) Penalties:**

**aa)** Any player who is defaulted shall lose all prize money (gross prize money to be paid to ATP), hotel accommodations and points earned for that event at that tournament.

**bb)** At the discretion of the Supervisor, the player may be withdrawn from all other events, if any, in that tournament.

**cc)** In addition, if the Senior Vice President - Rules & Competition determines that the default was particularly injurious to the success of the tournament or detrimental to the integrity of the sport, he may consider additional penalties (fines and/or suspensions).

**v)** The exception is when the offending incident involves:

**aa)** A violation of the punctuality or dress and equipment provisions set forth in the Code; or

**bb)** As a result of a medical condition; or

**cc)** A match ending on a delay penalty (Code Violation for Delay of Game) if the delay penalty was the result of a medical condition.

**dd)** A member of a doubles team did not cause any of the misconduct code violations that resulted in the team being defaulted.

#### **vi) In doubles:**

**aa)** A default assessed for violation of the Code shall be assessed against the team.

**bb)** The Supervisor will assess the default penalties against both players on the team, unless the provisions in 4 above apply.

**cc)** At the discretion of the Supervisor, one or both of the players may be withdrawn from all other events, if any, in that tournament.

**dd)** The partner of the player who caused the default shall receive points and prize money from the previous round.

### **Default - List Penalties**

**Case:** *If a player is defaulted through the Code of Conduct for misconduct, what penalties result?*

**Decision:** *The player may be withdrawn from any other event he is entered in, as determined by the Supervisor; lose all points and*

## VIII. THE CODE

*gross prize money earned for the event where he was defaulted; and hotel accommodations, in addition to the fines that may be imposed for the code violations. If the player is removed from the other event as well, he will lose all points and prize money earned from both events, and hotel accommodations.*

### n) Punctuality

Players shall be ready to play when their matches are called.

- i) Any player not ready to play within ten (10) minutes after his match is called shall be fined \$250.
- ii) For televised matches with an announced "walk-on" time, players not ready to walk-on at the announced time may be issued a fine at the sole discretion of the ATP Supervisor. Normal fines may be in the range of \$1,000-\$5,000 but in extreme cases could be up to a maximum of \$10,000.
- iii) Any player not ready to play within fifteen (15) minutes after his match is called may be fined up to an additional \$750 and shall be defaulted unless the Supervisor, after consideration of all relevant circumstances, elects not to declare a default. In such case, the Supervisor shall immediately inform the Senior Vice President - Rules & Competition. This section applies only to those players who are or have been on-site.

### **Late Transportation**

**Case:** *The scheduled transportation is late to pick up players from the tournament hotel. A player is defaulted for punctuality and subsequently arrives on-site with tournament transportation. Should the default be rescinded and the match played?*

**Decision:** *The player is defaulted. Transportation is a service provided by the tournament; however, the player is responsible for arriving on time for his match.*

### o) Continuous Play

- i) **Delay of Play.** A player will receive a warning for the first violation and be subject to a fine for each subsequent violation (\$250 then doubled for each additional violation) during that week's event for violating the following timings:

Action	Time Allowed	
	ATP Tour	ATP Challenger
Reaching the net for the pre-match meeting. Timing begins when second player/team reaches the appropriate bench.	60 seconds	60 seconds
Warm-up. Time begins at the conclusion of the pre-match meeting.	4 minutes	5 minutes
Start of play. Players must show that they are ready to start play. Timing begins at the conclusion of the 4 or 5 minute warm-up.	60 seconds	60 seconds

## VIII. THE CODE

- ii) **Delay of Game.** Once the match has begun, play shall be continuous and a player shall not unreasonably delay a match for any cause. A maximum of twenty-five (25) seconds shall elapse from the moment the ball goes out of play until the time the ball is struck for the next point. If such serve is a fault, then the second serve must be struck by the server without delay. The exception is at a ninety (90) second changeover or a one hundred twenty (120) set break. The procedures for enforcing this rule are as follows:

**aa) 25 Seconds Between Points.**

- 1) Start stopwatch when the player is ordered to play or when the ball goes out of play.
- 2) Assess time violation or code violation if the ball is not struck for the next point within the twenty-five (25) seconds allowed. There is no time warning prior to the expiration of the twenty-five (25) seconds.

**bb) Changeover (Ninety (90) Seconds) and Set Break (One Hundred and Twenty (120) Seconds).**

- 1) Start stopwatch the moment the ball goes out of play.
- 2) Announce "Time" after sixty (60) / ninety (90) seconds have elapsed.
- 3) Announce "15 Seconds" if one or both of the players are still at their chairs and/or have not started toward their playing positions after seventy-five (75) / one hundred and five (105) seconds have elapsed.
- 4) Assess time violation or code violation (after medical time-out or treatment) if the ball is not struck for the next point within the ninety (90) / one hundred and twenty (120) seconds allowed provided there has been no interference which prevented the server from serving within that time.

**NOTE:** When requested by television, "Time" shall be announced after ninety (90) seconds for a changeover and one hundred twenty (120) seconds for a set break.

**cc) Time Violations.**

Violating a provision of this Section, as server or receiver, shall be penalized by a "Time Violation – Warning" and each subsequent violation shall be penalized as follows:

- **Server.** When serving the time violation shall result in a "fault".
- **Receiver.** When it is determined that the receiver is the cause of the time violation, then the receiver shall be penalized by the assessment of one (1) point penalty. The receiver must also play to the reasonable pace of the server. A Time Violation may be issued in this case prior to the expiration of twenty-five (25) seconds if the receiver's actions are delaying the reasonable pace of the server. Assess a code violation if the receiver is consistently or obviously delaying the server, thus employing "Unsportsmanlike Conduct".
- **Server/Receiver** following a toilet break. Point Penalty.

**Note:** A second time violation occurs when a player who has received a prior warning as either the server or receiver is issued another time violation as either server or receiver. Example is Player A had received a warning for not serving within the 25 second limit; later, as receiver, Player A is deemed to not be playing to the reasonable pace

## VIII. THE CODE

of the server. This would be considered a second violation and a point penalty would be issued.

### p) Post-Match Media Availability

- i) All players scheduled to play on televised courts will be required, if requested, to perform a pre-match TV interview on the day of the match (not to exceed 2 minutes in total). The interview may be conducted at either the player's practice court or as the players approach the court for walk-on as determined by the host and player's national broadcasters.
- ii) Unless injured and physically unable to appear, a player or team must be available, as determined by ATP, on court (for TVs only), in the mixed zone or media conference area after the conclusion of each match whether the player or team was the winner or loser. Post-match media obligations include three (3) interviews, with the news service, host and player's national broadcasters. This rule shall also apply to matches won or lost as a result of a withdrawal or retirement.
- iii) Violation of this section shall subject a player to a fine in accordance with the following schedule (based on most recent position in the PIF ATP Rankings):

1 - 10	\$20,000
11 - 25	\$10,000
26 - 50	\$5,000
51 - 100	\$3,000
101 +	\$1,000

Fines will be increased to the next higher level for any national player. Fines will double for each repeat offense within an ATP Circuit Year. For ATP Challenger Tour events, a violation of this section shall result in a fine of \$500.

### q) Pre-Tournament Media Availability

All players will be required, if requested, to take part in media availability prior to their first match at each tournament.

### r) ATP STARS Program Penalties

Players shall be required to participate in ATP sponsored activities at each ATP Tour tournament. Failure to participate in a scheduled activity due to non-appearance or tardiness shall be deemed a missed activity. Violation of this section shall subject a player to a fine as indicated below:

Fine Schedule (based on most recent position in the PIF ATP Rankings):

1 - 10	\$20,000
11 - 25	\$10,000
26 - 50	\$5,000
51 - 100	\$3,000
101 +	\$1,000

Fines will be increased to the next higher level for any national player. Fines will double for each repeat offense within an ATP Circuit Year.

## VIII. THE CODE

### s) **Champion's Media Tour**

Each winner of a Grand Slam or the Nitto ATP Finals, if requested, is obligated to participate in media tour as arranged by ATP during the days immediately following the finals of any such tournament. Players and their agents will be consulted with respect to the scope and substance of the activities to take place during the media tour to ensure that the player is comfortable with the proposed activities. ATP will cover all expenses incurred by a player while participating in the media tour.

### t) **Special Functions**

Each player, if requested, is obligated to attend the ATP Awards Show and up to two (2) additional ATP sponsored/conducted special events. Players and their agents will be consulted in advance to ensure that attendance at any such event(s) will not substantially intrude upon the player's schedule. Players and their agents will also be consulted with respect to the scope and substance of the events to ensure that the player is comfortable with attending the event(s).

## M. Determination of Violation and Penalty

- 1) The Supervisor shall make a reasonable investigation to determine the facts regarding all player on-site offenses. Upon determining that a violation has occurred, the Supervisor shall specify the fine and/or other punishment in written notice to the player.
- 2) The ATP Members Fines Committee shall have the authority to investigate statements or actions made by a player that are not heard or seen by on-court officials by reviewing tapes of televised matches. After reviewing all facts and circumstances, the ATP Members Fines Committee may determine that a violation of the Code has occurred and shall specify the fine and/or other punishment. The player shall be given written notice of the violation and fine. The player shall have the right to appeal such determination to the ATP Senior Vice President - Rules & Competition, consistent with the procedures outlined in the Code.

## N. Payment of Fines

Fines levied by the Supervisor for player on-site offenses shall be paid in accordance with the following:

- 1) **ATP Tour and ATP Challenger Tour Tournaments.** Each tournament shall deduct fines from the player's winnings, if any, and promptly pay the fine to ATP. In the event that the player's winnings are insufficient to pay the fine, the player shall pay the balance within twenty (20) days after the tournament to ATP.

## O. Procedures for Appeal

- 1) Except for appeals of violations of the Stars Program, any player in violation of a player on-site offense may, after paying all fines, appeal to the ATP Members Fines Committee for review of a determination of guilt and the penalty assessed.
- 2) Any player found to have committed a player on-site offense may appeal such decision in writing to the ATP Members Fines Committee. Such appeal shall be lodged within ten (10) days of the on-site offense. The ATP Members Fines Committee shall review the Appeal within twenty-one (21) days and, if necessary, designate a date, time and place for a hearing. At the hearing, the player shall present to the ATP Members Fines Committee his respective positions on the facts. The

## VIII. THE CODE

ATP Members Fines Committee may affirm, reverse or modify the penalty initially imposed by the Supervisor.

### P. Appeal of Violations of STARS Program

- 1) All appeals concerning the ATP STARS Program shall be governed by the procedures in this section.
- 2) A player can file a written appeal with the Senior Vice President - Rules & Competition within ten (10) days after the player's receipt of notification of a violation of the Stars Program. As a condition to filing an appeal, the player must pay the fine prescribed in the Program for the violation.
- 3) Upon receipt of a timely written appeal, the Senior Vice President - Rules & Competition, or his designee, shall appoint a committee to hear and decide the appeal, and also shall appoint one of the committee members to act as the committee's chairman. ATP may provide reasonable compensation and reimbursement of expenses to committee members.
- 4) The committee shall convene a hearing to hear the appeal and shall render its written decision on the case as soon as practicable following the conclusion of the hearing. The decision shall be by majority of the committee members.
- 5) The procedure prior to and at the hearing shall be at the discretion of the committee chairman, including but not limited to the decision to conduct the hearing by telephone conference or in person. In establishing such procedures, the chairman shall take into account the amount of the fine involved and any other relevant considerations.
- 6) The committee shall not be bound by judicial rules governing the procedure or the admissibility of evidence, provided that the hearing is conducted in a fair manner with a reasonable opportunity for each party to submit evidence, address the committee and present his or its case.
- 7) In all appeals, ATP will appear and defend the finding of a violation, and shall have the burden of proving, by a preponderance of the evidence, that there has been a violation of the STARS Program.
- 8) The committee's decision shall be the full, final and complete disposition of the appeal and will be binding on all parties.
- 9) If the player's appeal is upheld, the Senior Vice President - Rules & Competition shall refund to the player the fine paid by the player in connection with this appeal.

### Q. Notice and Service

- 1) Any written communication to be sent to the ATP Members Fines Committee or Senior Vice President - Rules & Competition should be addressed as follows, unless notice of change is subsequently published.

ATP Members Fines Committee or SVP - Rules & Competition  
ATP Americas  
201 ATP Blvd  
Ponte Vedra Beach, FL 32082, USA  
Telephone: +1 904 285 8000

## VIII. THE CODE

- 2) **Service.** Service to a player of any notice or other document shall be deemed completed if mailed to the player at his home address or other address designated by the player.

### 8.05 Player Major Offenses/Procedures

#### A. Offenses

##### 1) Aggravated Behavior

- a) No player, their coaches, Physiotherapist, therapist, physician, management representative, agent, family member, tournament guest, business associate or other affiliate or associate of any player ("Related Persons"), or any other person who receives accreditation at an Event at the request of the player or any other Related Person, at any ATP Tour or ATP Challenger Tour tournament shall engage in aggravated behavior which is defined as follows:
  - i) One or more incidents of behavior designated in this Code as constituting aggravated behavior.
  - ii) One incident of behavior that is flagrant and particularly injurious to the success of a tournament, or is singularly egregious, including the sale of credentials.
  - iii) A series of two (2) or more violations of this Code within a twelve (12) month period which singularly do not constitute aggravated behavior, but when viewed together establish a pattern of conduct that is collectively egregious and is detrimental or injurious to ATP Tour or ATP Challenger Tour tournaments.
- b) Violation of this section shall subject a player to a fine up to \$100,000 or the amount of prize money won at the tournament, whichever is greater, and/or suspension from play in ATP Tour and ATP Challenger Tour tournaments or events for a minimum period of twenty-one (21) days and a maximum period of one (1) year. The suspension shall commence on the Monday after the expiration of the time within which an appeal may be filed, or, in the case of appeal, commencing on the Monday after a final decision on appeal. Violation of this Section by a Related Person may result in a maximum penalty of permanent revocation of accreditation and denial of access to all ATP Tour and ATP Challenger Tour Tournaments.

##### 2) Conduct Contrary to the Integrity of the Game

The favorable reputation of ATP, its tournaments and players is a valuable asset and creates tangible benefits for all ATP members. Accordingly, it is an obligation for ATP players and Related Persons, to refrain from engaging in conduct contrary to the integrity of the game of tennis.

- a) Conduct contrary to the integrity of the game shall include, but not be limited to, publicized comments that unreasonably attack or disparage any person or group of people, a tournament, sponsor, player, official or ATP. Responsible expressions of legitimate disagreement with ATP policies are not prohibited. However, public comments that one of the stated persons above knows, or should reasonably know, will harm the reputation or financial best interests of a tournament, player, sponsor, official or ATP are expressly covered by this section.
- b) A player, or related person, that has at any time behaved in a manner severely damaging to the reputation of the sport, including submitting a falsified Covid-19 vaccination record, may be deemed by virtue of such behavior to



## VIII. THE CODE

have engaged in conduct contrary to the integrity of the Game of Tennis and be in violation of this Section.

- c) A player, or related person, convicted of a violation of a criminal or civil law of any jurisdiction may be deemed by virtue of such conviction to have engaged in conduct contrary to the integrity of the Game of Tennis.
- d) A player, or related person, charged with a violation of a criminal or civil law of any jurisdiction may be deemed by virtue of such charge to have engaged in conduct contrary to the integrity of the Game of Tennis and the ATP Members Fines Committee may provisionally suspend such player, or related person, from further participation in ATP tournaments pending a final determination of the criminal or civil proceeding.
- e) Violation of this section shall subject the player to a fine of up to \$250,000 and/or suspension from play in ATP Tour or ATP Challenger Tour tournaments for a period of up to three (3) years. Violation of this Section by a Related Person may result in a maximum penalty of permanent revocation of accreditation and denial of access to all ATP Tour and ATP Challenger Tour Tournaments.

### 3) Prohibited Promotional Fees

- a) ATP Tour 500 and 250 tournaments have the option to offer fees for promotional services. No other ATP Tour or ATP Challenger Tour tournament owner, operator, sponsor or agent is permitted to offer, give or pay money or anything of value, nor shall the tournament permit any other person or entity to offer, give or pay money or anything of value to a player, directly or indirectly, to influence or assure a player's competing in a tournament, other than prize money, unless authorized to do so by ATP.
- b) Violation of this section shall subject the player to a fine up to \$20,000 for ATP Challenger Tour tournaments, \$30,000 for ATP Tour 250 tournaments, \$40,000 for ATP Tour 500 tournaments, \$60,000 for ATP Tour Masters 1000 tournaments plus the amount of value of any such payment, and/or to suspensions from play in ATP Tour and ATP Challenger Tour tournaments for a period of up to three (3) years. The suspension shall begin on the Monday after the expiration of the time within which an appeal may be filed, or, in the case of appeal, commencing on the Monday after a final decision on appeal. The maximum fine will increase by one hundred percent (100%) for each consecutive violation during the same calendar year.
- c) If the ATP Members Fines Committee believes that a player may be violating this section, then upon demand, the player or his agent, must furnish or provide access to the ATP Members Fines Committee copies of all records relating to their participation in or, in the absence of such records, an affidavit setting forth the facts with respect to any transaction in question. In the event a player fails to provide the records or affidavit, the ATP Members Fines Committee may suspend him from participation in ATP Tour and ATP Challenger Tour tournaments pending compliance with such demand.

## B. Procedures

### 1) Determination and Penalty

The ATP Members Fines Committee shall conduct such investigation of an alleged player major offense as they, in their sole discretion, determine is appropriate and necessary. Upon completion of their investigation, the ATP Members Fines Committee shall determine whether a player major offense has occurred

## VIII. THE CODE

and, if so, shall fix a penalty to be imposed. A copy of the decision setting forth such penalty shall be promptly delivered to the player.

### 2) Payment of Fines

The player shall pay all fines levied for player major offenses to ATP by delivery to the ATP Members Fines Committee within twenty-one (21) days after receiving written notice.

### 3) Appeal

Any player who has received a penalty for a player major offense may, after paying all monetary fines, appeal such determination by filing a written notice with the ATP Senior Vice President - Rules & Competition within five (5) days (excluding weekends) of such player's receipt of notice of such determination. Upon receiving such notice of appeal, the ATP Senior Vice President - Rules & Competition or his designee shall set a date and place for the hearing.

### 4) Hearing on Appeal

The ATP Senior Vice President - Rules & Competition or his designee shall conduct the hearing on appeal in accordance with the following:

- a) **Burden of Proof.** The ATP Members Fines Committee has the responsibility to prove the violation by a preponderance of the evidence.
- b) **Rules.** The ATP Senior Vice President - Rules & Competition or his designee must conduct the hearing in a fair and orderly manner with opportunity for each side to present its evidence as to the facts involved, and the player and his representative, if any, and the ATP Members Fines Committee are bound to cooperate fully to this end.
- c) **Statement of Position.** The ATP Senior Vice President - Rules & Competition or his designee may request the ATP Members Fines Committee and the player to state in writing their respective positions on the facts, the provision(s) of the Code allegedly violated and the penalty specified and file the same with the ATP Senior Vice President - Rules & Competition or his designee at least three (3) days prior to the hearing, with a copy to each other.
- d) **Presentation.** The ATP Members Fines Committee and the player may present evidence personally or through counsel. Each party shall have the right to present and to cross-examine witnesses, and to offer documentary evidence and testimony by affidavit or deposition. Except for purposes of rebuttal, documentary evidence and affidavits shall not be admissible unless a copy is submitted at least three (3) days prior to the hearing to the ATP Senior Vice President - Rules & Competition or his designee and to the other party. Should objection be made to the introduction of an affidavit, the ATP Senior Vice President - Rules & Competition or his designee may determine in his discretion that the interests of fairness require that the individual be produced to testify at the hearing, or alternatively, that such affidavit be excluded. In the case of the former, a reasonable continuance may be granted for production of such witness.
- e) **Hearing.** The hearing shall be closed to the public. Once commenced, the hearing shall continue from day to day until concluded, unless the ATP Senior Vice President - Rules & Competition or his designee allows otherwise. Postponements, adjournments or any form of delay shall be permitted only in the case of documented emergency and at the sole discretion of the ATP Senior Vice President - Rules & Competition or his designee. Requests for

## VIII. THE CODE

postponement shall be submitted in writing to the ATP Senior Vice President - Rules & Competition or his designee.

- f) **Record.** Each party shall have the right to have the hearing recorded or transcribed at its expense.
- g) **Interpreter-Legal Advisor.** The ATP Senior Vice President - Rules & Competition or his designee may, at his discretion, make provisions for the presence of an interpreter and/or legal advisor for the hearing. The reasonable expenses of such interpreter or legal advisor shall be assumed by ATP pending the final decision of the ATP Senior Vice President - Rules & Competition or his designee and the taxing of costs as is provided in the decision on appeal.

### 5) Decision on Appeal

As soon as practicable after the conclusion of the hearing on appeal, the ATP Senior Vice President - Rules & Competition or his designee shall render a written decision, which decision shall constitute the full, final and complete disposition of the issue and will be binding upon the player and upon all members of ATP. The ATP Senior Vice President - Rules & Competition or his designee may vacate, affirm or modify in whole or in part the penalty, but may not increase it. Notwithstanding the foregoing, the ATP Senior Vice President - Rules & Competition or his designee may tax the losing party, whether ATP or the player, all reasonable costs of the Appeal, including, but not limited to, the expenses and charges of the interpreter, legal advisor and any adverse witness required upon objection to testify concerning facts originally presented by way of affidavit. If the costs are taxed against a player, then they must be paid by the player to ATP by delivery to the ATP Members Fines Committee within (10) days after receipt of the decision of the ATP Senior Vice President - Rules & Competition or his designee.

## C. Suspensions and Collection of Fines

### 1) Suspension - Weeks/Scope

Whenever any suspension is involved as a penalty for a violation of the Code, only weeks with ATP Tour or ATP Challenger Tour tournaments shall be included in the suspension period.

### 2) Stay of Suspensions Pending Appeal

Whenever a player is suspended by ATP and an appeal either of right or discretionary review is filed, then the suspension shall be stayed pending the resolution of the appeal.

### 3) Suspension for Non-Payment of Fines

If a fine is not paid in a timely fashion, ATP may suspend, pending payment, the party fined from further participation in any ATP Tour and ATP Challenger Tour tournament. In addition, ATP is authorized to collect all overdue fines along with costs, if any, by all reasonable means, including deduction of the fine from any subsequent winnings, or through legal proceedings. When a fine is deducted from prize money being paid in non-U.S. currency, the official ATP exchange rate shall be applicable to the payment of the fine, to the extent deducted.

## 8.06 Tennis Anti-Corruption Program (“TACP”)

Complete rules of the TACP can be found at <https://www.itia.tennis/tacp/rules>.

## **VIII. THE CODE**

### **8.07 Final Dispute Resolution**

- A.** Any dispute between or among ATP, its Tournaments or its players (with the exception of any dispute relating to or arising out of a change in tournament class membership status) arising out of the application of any provision of this Rulebook which is not finally resolved by applicable provisions of the Rulebook shall be submitted exclusively to the Court of Arbitration for Sport ("CAS") for final and binding arbitration in accordance with CAS's Code of Sports-Related Arbitration. The decision of CAS in that arbitration shall be final, non-reviewable, non-appealable and enforceable. No claim, arbitration, lawsuit or litigation concerning the dispute shall be brought in any other court or tribunal. Any request for CAS arbitration shall be filed with CAS within 21 days of any action by ATP which is the subject of the dispute.
- B.** In the event any provision of this rule is determined invalid or unenforceable, the remaining provisions shall not be affected. This rule shall not fail because any part of the rule is held invalid.